

# Journal of Material Sciences & Engineering

**Research Article** 

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# Synthesis of Carbon Dots with Tunable Luminescence

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#### Abstract

Synthesis of carbon dots carried out by the method of hydrothermal treatment of carbon precursors, such as glucose, citric acid and soot from birch bark in aqueous ammonia. The lateral dimensions distribution of the carbon dots was measured, from which the average dimensions were determined, which are in the range 10-12 nm in the case of glucose, citric acid and 20-22 nm in the case of soot.

Water suspensions with carbon dots from both glucose and citric acid show strong absorption in the region from 300 to 500 nm, while carbon dots synthesized from soot have strong absorption in the ultraviolet region but are transparent in the visible region. The infrared absorption spectra were measured, which showed the presence of oxygen groups on the surface of synthesized carbon dots. Investigation of luminescence spectra have shown that carbon dots synthesized from glucose and soot luminesce equally in the violet region of the spectrum and the wavelength of the radiation is being dependent on the excitation wavelength. The luminescence intensity depends on the carbon dots dimensions and on the presence of oxygen groups on their surface. Luminescent carbon dots synthesized from glucose, citric acid and soot are ecological, biocompatible and have great prospects for their application in the field of biology and medicine.

**Keywords:** Hydrothermal synthesis; Carbon dots; Luminescence of carbon dots; Glucose; Citric acid; Soot; Nanotechnology

#### Introduction

In recent years, there is an increasing interest in the synthesis and study of quantum dots. Their main property is luminescence in the visible region of the spectrum. Among them, the most studied are semiconductor quantum dots, which have a high quantum yield, various unique optical and electrical properties. They are of the greatest interest in the field of bio-imaging and biomedicine, although their widespread use is severely limited in this area due to their several drawbacks, such as high toxicity, low biocompatibility, high cost and low chemical inertness. They show poor solubility/ bioavailability in aqueous solution [1]. Against this background, the synthesis of quantum dots based on carbon is becoming more urgent. Carbon dots (CDs) are a class of zero-dimensional carbon structures that contain sp<sup>2</sup> carbon bonds and various functional groups. They are superior to conventional semiconductor quantum dots in terms of low toxicity, high biocompatibility, good chemical inertness and solubility [2]. They can also work as effective carriers of drugs because of their small size and biocompatibility [3]. In addition, their excellent photo- and electro-catalytic characteristics also allow application in the field of energy saving and environmental protection [4,5]. CDs demonstrate unique photoluminescent (PL) properties, which depend on several factors. First, luminescence can depend on the particle size and uniformity of the particle size distribution. Secondly, it depends on the surface passivating compounds, which affect not only luminescence, but also the radiation intensity. Thirdly, luminescence depends on the functionalization of the surface by organic molecules, which also contributes to tuning the wavelength range of the radiation. The possibility of adjusting the CDs photoluminescence parameters (wavelength, radiation intensity, quantum yield) with simple changes in the synthesis conditions makes CDs a promising material in various fields, depending on the necessary conditions for photoluminescence [6].

## Methods of Synthesis and Study of Carbon Dots

The following reagents were used in hydrothermal synthesis: glucose  $(C_6H_{12}O_6$ , chemical purity, Ruskhim, Russia,), citric acid

 $(C_6H_8O_7)$ , chemical purity, Chip-I-Dip, Russia), deionized water (DI), aqueous ammonia (NH<sub>3</sub>, special purity 25.6%, Vostokreaktiv, Russia). The following equipment was used for the synthesis process: polytetrafluoroethylene autoclave (TOPTION Laboratory Store, China), universal drying oven (SHSP-0.25-60-C, Russia), track membranes (100 nm), dialysis bag with pore size 3.5 kDa (AXIOM-Bio, Russia), and magnetic stirrer.

The sequence of synthesis operations with a specific example of glucose is as follows: 2 g glucose was dissolved in 15 ml of DI water, and then 6 ml of aqueous ammonia (25%) was added. The resulting solution was placed in a 50 ml polytetrafluoroethylene autoclave. Hydrothermal synthesis was carried out in a drying oven at 180°C (and 1 hour) with a time variation of 10 minutes, 30 minutes, 1 hour, 4 hours, 8 hours, 10 hours, 18.5 hours. After the hydrothermal treatment, the suspension becomes brown, while the initial water solution with carbon precursors was transparent. The resulting brown suspension was dialyzed for 12 hours. After dialysis, the solution was filtered through a track membrane with pore sizes of 100 nm. Dialysis bag solution was used in order to characterize the properties of the suspension with carbon dots. CDs from citric acid were synthesized similarly.

Soot as the precursor of CDs is a black powder obtained after pyrolysis of the birch bark. CDs from soot were prepared by the hydrothermal method too. To obtain N-doped CDs from soot, 6 ml of aqueous ammonia solution (25%) and 15 ml of DI water were added in 1 g of birch bark black. To obtain undoped CDs from soot, 15 ml of DI

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Received September 12, 2017; Accepted September 25, 2017; Published October 08, 2017

**Citation:** Smagulova SA, Egorova MN, Tomskaya AE, Kapitonov AN (2017) Synthesis of Carbon Dots with Tunable Luminescence. J Material Sci Eng 6: 376. doi: 10.4172/2169-0022.1000376

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water and 1 g of soot were mixed. The solutions were transferred to a poly-tetra-fluoro-ethylene autoclave, which was heated in a universal oven for 1, 2 and 4 hours. The resulting pale yellow solutions were also dialyzed for 12 hours and filtered through a 100 nm track membrane.

The luminescent properties of the suspensions were investigated using a Perkin Elmer LS 50B spectrometer (USA). The suspension was applied drop-wise to an oxidized silicon substrate study the characteristics of the carbon dots with a scanning electron microscope (JEOL JSM-7800F, Japan) and to measure FT-IR spectrum (Varian 7000 FT-IR, USA).

## **Experimental Results**

In daylight, the suspensions of carbon dots synthesized from glucose (Figure 1a, upper) and from citric acid (Figure 1b, upper) are colored brown. When these suspensions were illuminated with an ultraviolet ray with a wavelength of 325 nm, CDs from glucose glowed greenish light, while CDs from citric acid showed a blue fluorescence. Suspensions of CDs synthesized from soot are transparent (Figure 1c, upper), and their luminescence under the ultraviolet ray is green (Figure 1c, bottom).

The study of the obtained CDs by the scanning electron microscopy (SEM) method made it possible to determine their lateral dimensions. Figure 2a shows SEM images of carbon dots synthesized from glucose, in Figure 3 - from citric acid, in Figure 4 - from soot.

With synthesis duration of 1 hour, the average lateral dimensions of CDs from glucose are 11 nm, from citric acid are 13 nm, and from soot are 22 nm (Figures 2b, 3b and 4b). Elemental analysis showed that carbon dots synthesized from glucose consist of carbon - 44.1% (atomic), oxygen 48%, nitrogen 7.8%, whereas for CDs from soot as follows: 25.3%, oxygen - 55.8%, nitrogen - 19%.

Figure 5 shows FT-IR spectrum of CDs synthesized from glucose and citric acid. FT-IR spectrum showed a difference in the content of oxygen groups for CDs synthesized from glucose and citric acid. In the case of glucose, the maximum concentration of oxygen groups on the surface of CDs is observed at 10 minutes of synthesis, and their concentration decreases sharply with a further increase in the synthesis time.

Figure 6 shows the FT-IR spectrum of CDs synthesized from soot, depending on the addition of ammonia to the initial solution. The addition of ammonia has virtually no effect on the FT-IR spectrum form, and conversely, the duration of synthesis strongly affects the FT-IR spectrum of CDs. UV-VIS absorption spectrum for CDs synthesized from glucose are shown in Figure 7, depending on the synthesis time.





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Figure 3: a) SEM image and b) distribution of lateral dimensions of CDs from citric acid on a silicon oxide surface.



Figure 4: a) SEM image and b) distribution of lateral dimensions of CDs from birch soot on a silicon oxide surface.



The maximum absorption intensity is observed in the wavelength range from 380 nm to 440 nm. The general form of the absorption spectrum slightly depends on the time of hydrothermal synthesis. Figure 8 shows UV-VIS absorption spectrum of CDs synthesized from soot with addition of ammonia to initial solution and without addition.

One can see in Figure 8 that the addition of ammonia significantly affects the UV-VIS absorption spectrum form. Absorption peaks at 205 nm, 220 nm, 270 nm are clearly seen in the case with nitrogen (a) while



a continuous absorption curve is observed in the wavelength range from 200 nm to 300 nm without nitrogen (b).

Figure 9 shows the photoluminescence (PL) spectrum of CDs synthesized a) from glucose and b) from citric acid at different excitation lengths. For CDs from glucose, the luminescence peaks are shifted to the long-wavelength region by approximately  $\Delta$ 70 nm with a change in the excitation wavelength from 300 nm to 400 nm. For CDs from citric acid this displacement is practically not observed.

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Figure 8: UV-VIS absorption spectrum solutions of CDs from soot: a) with NH<sub>4</sub>, b) without NH<sub>4</sub> after hydrothermal treatment for: 1-1 h, 2-2 h, 3-4 h.



A comparison made for the PL spectrum of CDs synthesized from glucose and citric acid is shown in Figure 10a. It is clearly that the luminescence peaks approximately shifted by  $\Delta 50$  nm. Luminescence spectra for CDs synthesized from soot are presented in Figure 10b.

This figure shows that the luminescence peaks coincide for CDs from glucose and soot. When excited at a wavelength of 300 nm, the luminescence intensity of CDs from soot is 15 times greater than for CDs from glucose.

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Figure 10: a) Comparison of the PL spectrum of CDs synthesized from glucose and citric acid; b) normalized PL spectrum of CDs from soot at different excitation wavelengths (300, 350, 400 nm).

#### Discussion

The basis of hydrothermal synthesis is the high solubility of substances in water at high pressure and temperature and the possibility of subsequent crystallization of the dissolved material from the liquid phase. High temperature of water plays an important role in the synthesis, because it creates an increased vapor pressure, the properties of the reagents vary (solubility, diffusion rate, reactivity), and water structure differs from that at room temperature. The control of vapor pressure, temperature and reaction time provides a great potential for the synthesis of high-quality CDs.

In our experiments, a temperature range of 180°C was chosen for hydrothermal synthesis of CDs as optimal for self-assembly of CDs from atoms and molecules in the initial suspension. The lateral size distribution of CDs from glucose and citric acid is close to the Gaussian distribution, and has a maximum at about 11 nm for glucose and 13 nm for citric acid for a synthesis time of 1 hour. For CDs in soot, the size distribution is asymmetric and the average size of the CDs is larger than in the first case and is within 22 nm. Probably it is because in the case of soot in suspension, there are quite a lot of free carbon atoms that actively participate in the formation of the CDs nucleus. For CDs from glucose, we assume that the concentration of free carbon during synthesis is low, since the decay of glucose molecules is complex and multistage. It was found that the duration of synthesis increases the size of CDs, for example, for CDs synthesized from glucose, an increase in the synthesis time to 4 hours leads to an average size of 15 nm.

FT-IR spectrum (Figure 5) showed that the carbon dots synthesized from glucose have a number of absorption centers, mainly associated with functional groups. After hydrothermal treatment glucose is dehydrated to form a C=C bond, which is an elementary unit of synthesized CDs. The absorption peak at 1641 cm<sup>-1</sup> is due to C=C stretching. A wide peak at 3392 cm<sup>-1</sup> indicates the presence of O-H. Absorption at 1027 cm<sup>-1</sup> refers to valence vibrations of C-OH; the absorption at 2927 cm<sup>-1</sup> refers to the vibrations of C-H, and the absorption at 1380 cm<sup>-1</sup> indicates the presence of an N-H bond (Figure 5). In addition to the vibrations of C-OH, C-O-C, and C-H bonds, the valence vibrations C=O (~1610 cm<sup>-1</sup>) were also observed, in combination with asymmetric and symmetric valence vibrations of C-O-C (~1300 cm $^{\text{-1}}$  and ~1200 cm $^{\text{-1}}$ ) in the carboxyl group. It may be related to oxidation of C-OH bonds by residual or decomposed oxygen atoms under hydrothermal treatment conditions [7-9]. The functional groups found on the surface of the CDs act as a passivating layer. This layer facilitates the solubility of CDs in water, and enhances the properties of photoluminescence [10]. The presence of a large number of residual hydroxyl groups improves the hydrophilicity and stability of carbon dots in polar solvents [6,10]. These hydrophilic groups not only give an idea of the luminescence mechanisms, but also contribute to the harmlessness of the product, which makes it possible to use them in biochemical studies. As shown by the FT-IR spectrum for CDs from glucose (Figure 5), the concentration of functional groups on the surface of CDs is mainly affected by the duration of the synthesis process. As the synthesis time increases, the intensity of the absorption bands decreases, which is explained by the decrease in the number of functional groups on the CDs surface as a result of intramolecular changes in the composition of functional groups under hydrothermal treatment.

UV-VIS absorption spectrum of CDs synthesized from glucose (Figure 7) and soot (Figure 8) strongly differ from each other. This fact apparently is an argument for the qualitative composition and dimensions of CDs are very different. In the case of CDs from soot (Figure 8a and 8b), the presence of nitrogen atoms strongly affects the character of the absorption. Ammonia plays two roles in the growth of N-CDs. First, it acts as a catalyst for intra- and intermolecular reactions, and secondly, for the doping of carbon dots with nitrogen. It is possible that the presence of nitrogen atoms in the CDs nucleus, introducing certain deformation distortions, contributes to a better capture of functional groups on the CDs surface.

The peaks of the PL spectrum for CDs synthesized from glucose (Figure 9a) and soot (Figure 10b) coincide. They also shift equally to the long-wavelength region with a change in the excitation wavelength (350, 400, 450 nm.). This suggests that the luminescence centers in both cases are the same functional groups on the CDs surface. It is known from the literature [11] that the "bare" carbon nucleus does not luminesce. The ratio of luminescence intensities of CDs synthesized from soot and glucose is non-monotonic: at a excitation wavelength of 300 nm it is 15 times larger (Figure 7), at 350 nm approximately of the same order, at 400 nm - 1.7 times larger. Such a dependence of the luminescence intensity can be explained by the low intensity of CDs absorption in the region from 300 nm to 400 nm (Figure 7).

#### Conclusion

Optimal conditions for hydrothermal synthesis of carbon dots from glucose, citric acid, soot were found: reaction time 3-4 hours,

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temperature range 180°C; the average sizes of CDs were determined, which lie in the range of 11-13 nm for glucose, citric acid and 22 nm for soot. FT-IR is showed the presence of bonds: C - 44.1%, O - 48.1%, N - 7.8% for glucose and C - 25.3%, O - 55.8%, N - 18.9% for soot. In the IR region, absorption on the bonds is visible: C=C, N-H, C-OH, C-O-C, CONH, C-O. It has been established that the absorption time is affected by the time of hydrothermal synthesis. An aqueous suspension of CDs from glucose showed strong absorption in the region from 300 nm to 500 nm, while CDs from soot have strong absorption in UV, but are transparent in the visible region. It is shown that the presence of nitrogen leads to the appearance of two peaks in the UV region: 260 nm and around 200 nm. It is shown that CDs, synthesized from glucose, soot, citric acid have luminescence in the UV and blue regions: 380-470 nm. The intensity of luminescence is maximal in the case of glucose, 9 times greater than for CDs from soot at equal concentrations. In the CDs spectrum, the soot contains no absorption by C=C, CONH. The sizes of CDs from glucose are less than the size of CDs in soot and in addition, the core of CDs from soot is amorphous. It is suggested that these three factors explain the difference in the luminescence intensities. Hydrothermal synthesis of CDs from different carbon precursors has great prospects for obtaining luminescent centers with variable wavelength and intensity, suitable for development of the optoelectronic devices.

#### Acknowledgements

The results were obtained within the performing of the state task of the Ministry of Education and Science of Russia "Leading Researchers" No. 16.6824.2017/6.7.

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