



A Narrative Review of Obstructive Sleep Apnea in Relation to the Associated Biomarkers and the Impact of CPAP Treatment on them

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Abstract

Obstructive sleep apnea (OSA) is a sleep disorder characterized by intermittent cessations of breathing due to obstruction of the upper respiratory tract. Obesity and OSA often coexist and obesity may be a major risk factor for worsening OSA. Both are associated with several comorbidities such as hypertension, cardiovascular disease and cerebrovascular accidents. There are biomarkers that are shared between both obesity and OSA with some being more sensitive for OSA. To better understand the relationship of the biomarkers associated specifically with OSA, a more comprehensive review of OSA biomarkers compared to previous literature was conducted with the goal of determining whether OSA is an individual risk factor. Specifically, OSA patients were matched based on BMI to controls with no comorbidities to rule out confounders. Furthermore, it was investigated if continuous positive airway pressure (CPAP) therapy was effective in reducing these biomarkers. OSA was shown to be an individual associated risk factor, compared to obesity, for further changes in biomarkers related to inflammatory changes (IL-8, TNF- α , IL-6, INF- γ), epithelial and cellular receptor regulation (ICAM-1, VCAM-1, P-Selectin, TLR-2 and TLR-4), atherosclerosis biomarkers and anatomical changes (increased CIMT, pulse wave velocity, catecholamines, aortic pulse velocity index), diabetes (HbA1c, insulin resistance) and hypertension (sFLT-1, sEng, YKL-40). This review revealed that biomarkers are significantly associated with OSA independent from obesity. CPAP treatment resulted in a reduction of these biomarkers.

Keywords: Obstructive sleep apnea; Sleep disorders; Obesity; Biomarkers; Diabetes; Continuous positive airway pressure

Introduction

Obstructive sleep apnea (OSA) is a disorder defined by intermittent cessations of breathing during sleep. OSA is most often caused by obesity and affects a large population of the United States [1]. Common symptoms include snoring, hypersomnia and nighttime awakening [2,3]. It has been associated with serious comorbidities such as coronary artery disease, cerebrovascular accidents, and arrhythmias. The proposed mechanisms in which OSA is thought to be pathogenic is through hypoxia, hypercapnia, negative intrathoracic pressure, sympathetic hyperactivity, hypercoagulability, endothelial dysfunction, oxidative stress, microarousal, metabolic and hormonal changes [4]. Often, patients with both OSA and obesity share many of the same biomarkers [2,5,6]. CPAP is the gold standard therapy for treating patients with OSA. It is effective at reducing the AHI (Apnea Hypopnea Index) [7,8] and is indicated for treatment in patients with mild to severe OSA (AHI of 5-30 and >30, respectively) [8,9]. Imaging such as MRI is unnecessary in the diagnosis in OSA however it has been shown to play a role in predicting treatment outcome and for monitoring disease progression [10,11].

To better understand the association of OSA and biomarkers, a comprehensive review was conducted to explore whether OSA may be an individual risk factor for biomarker elevation compared to healthy obese controls and to examine if CPAP treatment is able to reduce them. The review adds a more complete and up to date database of the inflammatory biomarkers compared to previous literature as well as examines only the adult population [12]. This paper also eliminates confounding bias seen in previous work by comparing the OSA patients with strict BMI matched controls with no comorbidities.

Methods

Search method

This review analyzed all pertinent data on all the available

literature from 1997-2018 regarding OSA biomarkers. Two individual investigators accessed PubMed, Google Scholar, and Ovid Medline from January 2017 to June 2018 to find literature associated with biomarkers associated with OSA in comparison to obese controls. The following strategy was used for searching articles: "obstructive sleep apnea" or "obstructive sleep aponea" [All Fields] AND "The biomarker in question". These markers included receptors, cytokines, serum lipid levels. Other categories included endothelial damage and biomarkers involved in changes in sympathetic nervous system tone. Search yielded 359 results before exclusion (Figure 1).

Inclusion and exclusion criteria

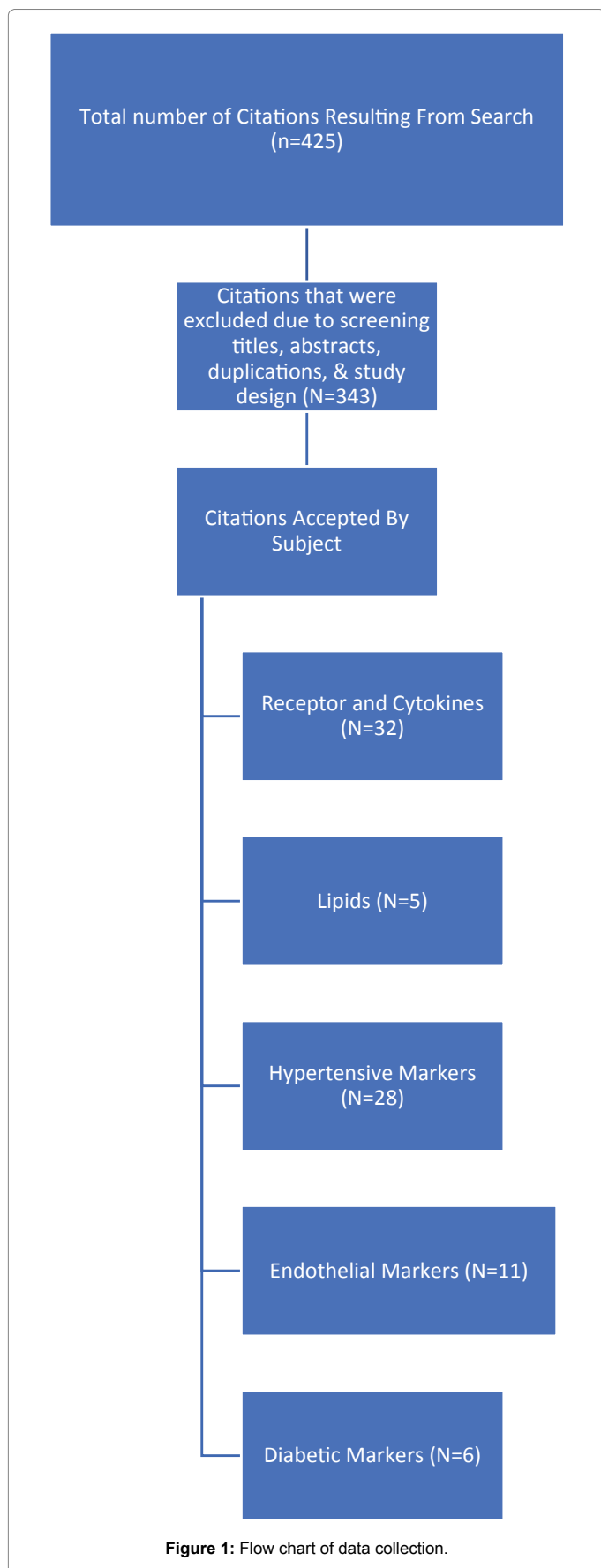
Studies were excluded if they did not appropriately BMI match OSA patients with an obese control group. Patients with underlying comorbidities were excluded. Patients with underlying genetic disorders or those that did not confirm a diagnosis of OSA were excluded. Papers were also excluded if they were not either written in English or if a clear translation was not provided. Full articles must have been available for search. Abstracts and other editorials were excluded. The senior author had final decision on whether an article was included when ambiguity arose.

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Category of biomarkers within associated Sub-Groups

Receptor and cytokine elevation in obstructive sleep apnea: Expression of toll-like receptors (TLR) and inflammatory cytokines are responsible for the inflammatory response. When upregulated or over secreted, they can lead to atherosclerosis and further progression of cardiovascular disease [13,14]. TLR-2 and TLR-4 are associated with both human metabolic syndrome, obesity, and have been demonstrated to contribute to atherosclerosis [15,16]. Increased levels of TLR-2 and TLR-4 in serum [17-21], mRNA expression on circulating monocytes, serum proinflammatory proteins such as interleukin-6 (IL-6) [22-32], interferon gamma (INF- γ) [17], and tumor necrosis factor alpha (TNF- α) [17] have also been shown to be significantly increased in patients with OSA compared to obese controls. After CPAP treatment, there is a significant decrease in the release of inflammatory cytokines, IL-6 and INF- γ , and a downregulation of both TLR2 and TLR4 regardless of body weight. These changes lead to a subsequent decrease in NF- κ B, which is part of the TLR cascade, demonstrating a decrease in inflammation. There is a positive correlation between NF- κ B concentrations and OSA disease severity [33-36] which can be a useful biomarker for disease progression [37]. Elevated IL-6 and TNF- α are also prominent in obesity [17-37,38]. Increased levels of IL-8 [28] as well as TNF- α [39] have also been shown to be significantly elevated in patients with OSA compared to obese controls.

Changes in serum lipid levels in obstructive sleep apnea: Feres et al. demonstrated that OSA was not associated with changes in cholesterol, HDL, LDL, and triglycerides and that CPAP treatment did not cause a decrease in these [29,40]. However, Feres et al. showed that patients with OSA had increased serum oxLDL. Lee et al. and Svatikova et al. both suggest that oxLDL is not associated with OSA as there was no differences between the control and OSA group [41,42]. Saarelainen et al. stated that oxLDL was not appropriate for screening or an applicable biomarker for OSA [43].

Hypertension, sympathetic nervous system activation and catecholamines release in patients with obstructive sleep apnea: Previous studies have all indicated that OSA raises blood pressure while CPAP treatment will lower blood pressure [44-48]. OSA increases blood pressure through sympathetic nervous system activation, endothelial dysfunction, and vasoactive agents. Studies show that there is an elevation of sFlt-1 and sEng in OSA patients with hypertension compared to those without [49]. Another study comparing normotensive OSA patients and hypertensive OSA patients revealed an increase in plasma levels of YKL-40, an emerging biomarker for heart disease. An elevation of YKL-40 has been shown to be a poor prognostic indicator for hypertensive patients with OSA compared to controls [46]. This signaling pathway has been proposed to contribute to endothelial dysfunction by the disruption of VEGF signaling, leading to hypertension. Plasma levels of YKL-40 are decreased significantly after CPAP therapy [44].

Catecholamines have also been shown to be significantly elevated in patients with OSA [46-63] while CPAP treatment has been shown to be at significantly decreasing their levels [46-54,60,61]. There were several papers that disagreed that CPAP treatment decreased levels of catecholamines in patients [56-59,61,62]. One papers only viewed acute treatment only [59].

Pentraxin-3, an inflammatory signal independently linked to increases in cardio-ankle vascular index (CAVI), has been shown to be significantly elevated in patients who have moderate-to-severe OSA [64-67]. A decrease in pentraxin-3 is stated to be a useful marker for improvement in patients with OSA [58]. After CPAP treatment, both

CAVI and Pentraxin-3 levels decreased [65-67]. CPAP compliance in the study by Kasai et al. was measured by objective evaluation of compliance based on the downloaded data stored in the CPAP device [66]. However, other studies have not demonstrated CAVI to be a useful marker as there was no relationship between arterial stiffness and the presence of OSA [68]. CAVI in the elderly can be confounded by other comorbidities, as well as a ceiling effect [69]. Kumagai et al. however agreed with the study by Kasai et al. by saying that CAVI is a good index for progressive vascular damage in patients with OSA, however it is not a good biomarker [70].

Carotid intima-media thickness (CIMT) can also be a useful tool for the assessment of atherosclerosis and CVD risk in patients with OSA [51,71-75]. Increased CIMT is significantly associated with an increasing severity of OSA [76-79]. Drager et al. reported that as the pulse wave velocity increased, there was a significant proportionate decrease in carotid diameter demonstrating increasing severity of the vascular disease [51,80].

Endothelial dysfunction in obstructive sleep apnea: Active endothelial molecules such as intracellular adhesion molecule-1 (ICAM-1), vascular cell adhesion molecule-1 (VCAM-1), E-selectin, L-selectin, interleukin-8 (IL-8), and myocyte chemoattractant protein-1 (MCP-1) are significantly increased in the serum of OSA patients and decrease significantly with CPAP treatment [75-81]. Other studies have shown significant elevation of leptin in OSA patient without significant elevations in endothelin-1(ET-1) and von willebrand factor [82]. Endothelin is produced by endothelial cells and is a potent vasoconstrictive and mitogenic peptide [83]. Saarelainen et al. saw a significant increase in ET-1 levels in patients with OSA compared to controls; however, there was not a significant decrease after three months of CPAP treatment [83]. Chen et al. contradicted this by reporting that TNF- α and ET-1 were reducible in OSA patient through CPAP treatment. It is important to note that Saarelainen et al. did not mention whether or not the CPAP usage was measured objectively or subjectively. Araújo et al. found that ICAM-1, VCAM-1 and P-selectin were not significantly difference which is contrary to the results of previous literature [84]. CD15+ and CD11c+ monocytes are significantly elevated in OSA patients compared to obese controls and decrease with CPAP treatment, while CD64+ monocytes were significantly decreased with no change after treatment [85]. CD expression on monocytes are all associated with atherosclerosis pathogenesis and further progression of cardiovascular disease.

Related or relative risk factors

The role of obstructive sleep apnea in insulin resistance: Hemoglobin A1c (HbA1c) levels have been shown to be elevated in patients with diabetes mellitus type 2 (DM2) and OSA compared to those with just OSA [85]. The mechanism is suspected to be impaired insulin receptor activation or insulin resistance which is positively correlated with the severity of OSA [84]. Insulin resistance has been shown to be significantly elevated in OSA patients [86-88] while insulin sensitivity increases after CPAP treatment [89,90]. Adiponectin levels were also significantly lower in patients with OSA and increased after CPAP treatment [91]. Conversely, Carneiro et al. stated that there was no difference in the insulin resistance index and insulin sensitivity index in patients with OSA compared to obese controls. Apnoeic males have been shown to have significantly higher levels of highly sensitive C-Reactive Protein, IL-6, leptin and insulin resistance than controls while females were shown to only have significantly higher hsCRP [89]. CPAP treatment has been shown to decrease the HbA1c levels in mild, moderate, and severe patients with both OSA and DM2 compared to

those with just DM2 alone. HbA1c however has been used only as a prognostic and diagnostic tool for DM2 and is not directly correlated to the pathogenesis of this disease [86]. Araújo et al. found no significant changes in insulin effectiveness in patients with OSA compared to obese patients. However, they did find that frequent O₂ desaturation was also correlated with increased insulin levels. Homeostatic model assessment insulin resistance (HOMA-IR) was not significantly different between OSA and obese patients (Supplemental Table 1).

Discussion

Obstructive sleep apnea has been shown to be a definitive and individual risk factor for multiple morbidities such as systemic hypertension, coronary artery disease, peripheral vascular disease, cerebrovascular accidents, arrhythmias and sudden cardiac death (SCD). The pathogenesis of OSA has been assumed to be related to hypoxia, hypercapnia, negative intrathoracic pressure, sympathetic hyperactivity, hypercoagulability, endothelial dysfunction, oxidative stress, micro-arousal, and metabolic and hormonal changes. OSA has been shown to be individually associated with inflammatory biomarkers (IL-8, TNF- α , NO, IL-6, INF- γ), epithelial and cellular receptors (ICAM-1, VCAM-1, P-Selectin, TLR-2 & TLR-4), atherosclerotic changes (increased CIMT, pulse wave velocity), and hypertension (sFLT 1, sEng, YKL-40). Treatment with CPAP results in decreasing the intermittent hypoxia/reoxygenation which is believed to be responsible for the underlying pathogenesis. Compared to obese controls, CPAP usage in OSA patients significantly decreases the biomarkers mentioned previously suggesting that OSA is independently associated with these biomarkers. CPAP is preventative of these comorbidities. CPAP usage in this study was reported with objective reading from the built-in device.

Limitations of this narrative review include the typical problems inherent to retrospective studies, as well as specifically, CPAP usage was defined differently between investigators. Although most studies used objective measurement of the built-in devices, some studies relied on patient self-report.

Conclusion

This review revealed that these biomarkers are associated with OSA independent of obesity. These results further suggest the role of OSA in the pathogenesis of the comorbidities may be linked to OSA's association with these biomarkers.

Compliance with Ethical Standards

This study received no funding. None of the authors have any conflict of interest to disclose. No animals were used in this study. This article does not contain any studies with human participants performed by any of the authors.

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