

## Research Article

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# Serum KL-6 Levels in Patients with Lung Cancer

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### Abstract

**Background:** Serum levels of KL-6 are widely used as an indicator of activity of interstitial lung disease. Although KL-6 was initially developed as a serum marker for malignancies, it is still unknown if KL-6 can be used as a biological marker of lung cancer. This study aimed to determine the positive rate of KL-6 in patients with lung cancer.

**Methods:** Serum KL-6 levels of patients with lung cancer and benign chest disease were retrospectively reviewed. A total of 226 patients with lung cancer and 103 patients with benign chest disease were included in this study. Serum KL-6 levels were measured using the electrochemiluminescence immunoassay method. The cut-off level was 500 U/ml.

**Results:** Serum KL-6 levels were above the cut-off level in 44 patients (19.5%) with lung cancer and 4 patients (3.9%) with benign chest disease. The mean serum KL-6 level in lung cancer was significantly higher ( $375 \pm 232$  U/ml) than that in benign chest disease ( $296 \pm 177$  U/ml,  $p=0.0027$ ). Serum KL-6 levels in lung cancer were significantly correlated with tumour size, stage, and individual TNM descriptors. The diagnostic accuracy of KL-6 was 43.5%.

**Conclusion:** Serum KL-6 levels are well correlated with the progressiveness of lung cancer. KL-6 might be useful as a biological marker to monitor the recurrence and the effect of therapy in lung cancer.

**Keywords:** KL-6; Lung cancer; Interstitial pneumonialung biopsy

### Introduction

KL-6 is a high molecular weight glycoprotein classified as a MUC1 mucin [1,2]. A monoclonal antibody for KL-6 was initially developed using cultured human lung cancer cell lines by Kohno and colleagues [1]. Consequently, a good understanding of the activity of interstitial lung disease was achieved. Therefore, KL-6 is now widely used as an indicator for the severity of interstitial lung disease.

Kohno et al also reported that KL-6 is an independent prognostic factor for lung cancer [3]. However, because of its insufficient specificity, it is not used in clinical practice as a tumour marker. Little is known about whether KL-6 is elevated in lung cancer patients, and how KL-6 levels are correlated with histology and stage.

We measured serum KL-6 levels in patients with lung cancer from 2005 to 2010. In this study, we retrospectively reviewed these patients, and examined the spectrum of serum KL-6 levels and their correlation with clinical data in patients with primary lung cancer.

### Patients and Methods

Serum KL-6 levels were measured before surgery in 231 patients with lung cancer and 103 patients with benign chest disease as a control group from 2005 to 2010. Serum levels of carcinoembryonic antigen (CEA) and cytokeratin 19 fragment (CYFRA) were measured at the same time in these patients. No patients had proven interstitial pneumonia in the control group. Serum KL-6 (Eisai, Japan) and CYFRA (Roche, Japan) levels were measured using the electrochemiluminescence immunoassay method. CEA levels were measured using the sandwich enzyme immune assay method (Wako Pure Chemicals, Japan). The cut-off levels of KL-6, CEA, and CYFRA were 500 U/ml, 5.0 ng/ml, and 3.5 ng/ml, respectively. There were 48 cases with KL-6 above the cut-off level among patients with lung cancer. Among these patients, 5 cases were accompanied by active interstitial pneumonia as the result of further investigations, and therefore these 5 patients were excluded, and the other 226 patients were included in this study. A chest X-ray and CT scan did not detect any evidence for the presence of interstitial pneumonia in these 226 lung cancer patients. The characteristics of the patients are summarized in Table 1.

### Statistical Analysis

All values are reported as means  $\pm$  SD. ANOVA was employed to evaluate the significance of differences of the parametric data between the groups, and the chi-square test was used for the contingency tables. Pearson's coefficient was used for correlation analysis. Receiver operating characteristic (ROC) analysis and the area under the curve (AUC) were used to evaluate diagnostic properties of tumour markers.  $P<0.05$  was considered significant (2-sided).

### Results

#### Serum KL-6 levels

Serum KL-6 levels were  $375 \pm 232$  U/ml (130-1676 U/ml) in lung cancer patients and  $296 \pm 177$  U/ml (133-1660 U/ml) in the control group. Serum KL-6 levels were significantly higher ( $p=0.0027$ ) in lung cancer patients than those in the control group. Serum KL-6 levels were above cut-off levels in 44 (19.5%) lung cancer patients and 4 (3.9%) patients in the control group. Serum KL-6 levels were not correlated with CEA ( $r=0.068$ ,  $p=0.311$ ) or CYFRA ( $r=0.007$ ,  $p=0.915$ ) in patients with lung cancer.

#### Distribution of serum KL-6 levels in primary lung cancer

The distribution of serum KL-6 levels in primary lung cancer patients is illustrated in Figure 1. With regard to histological classifications in lung cancer, the mean serum KL-6 levels were  $349 \pm 241$  U/ml (130-

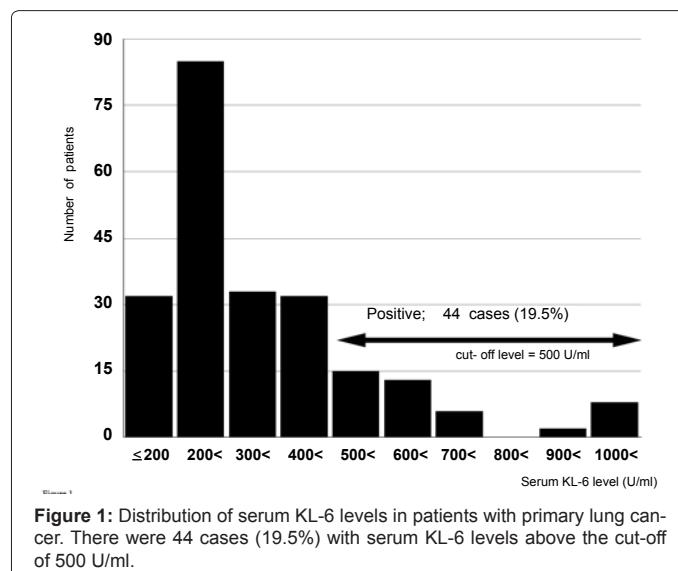
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1676 U/ml) in adenocarcinoma,  $428 \pm 268$  U/ml (144-1427 U/ml) in squamous cell carcinoma, and  $391 \pm 141$  U/ml (181-730 U/ml) in the



**Figure 1:** Distribution of serum KL-6 levels in patients with primary lung cancer. There were 44 cases (19.5%) with serum KL-6 levels above the cut-off of 500 U/ml.

other histological types. There was no significant difference in mean serum KL-6 levels among the histological types. Positive rates of KL-6 were 15.7% (21/134) in adenocarcinoma, 30.2% (16/53) in squamous cell carcinoma, and 17.9% (7/39) in the other histological types. The positive rate of KL-6 was significantly ( $p=0.0247$ ) higher in squamous cell carcinoma than that in adenocarcinoma.

Serum KL-6 levels were significantly correlated ( $r=0.3959$ ,  $p<0.0001$ ) with tumour size (Figure 2). Mean serum KL-6 levels and positive rates in association with TNM classification in lung cancer (UICC ver.7) are summarized in Table 2. Serum KL-6 levels and positive rates were significantly correlated with stage and individual TNM descriptors.

#### Diagnostic accuracy of serum KL-6 levels in primary lung cancer

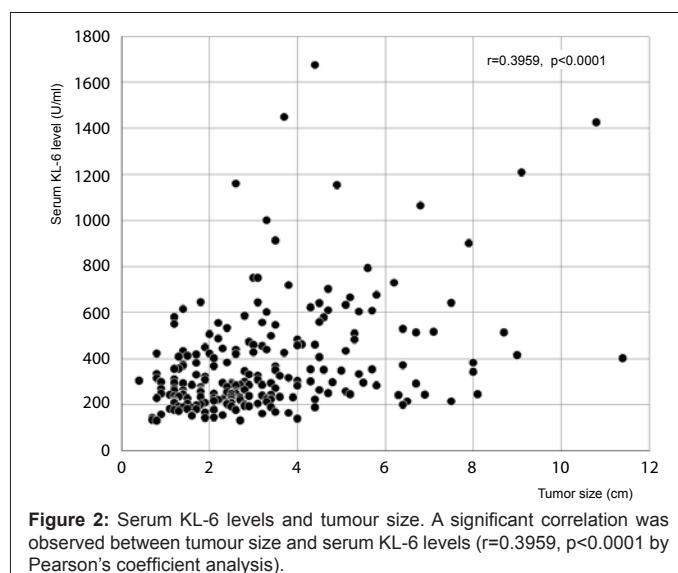
The diagnostic accuracy of serum KL-6 levels is summarized in Table 3. The sensitivity, specificity, true positive rate, true negative rate, and accuracy were 19.5%, 95.0%, 91.7%, 35.2%, and 43.5%, respectively. The diagnostic accuracy was calculated in patients with each stage and TNM descriptor. Accuracy was not statistically significant in T1a, T1b, T4, and stage IA patients.

		Primary lung cancer group	Control group	
Total number		226	103	
Age (years)		$69 \pm 9$ (39-87)	$62 \pm 15$ (23-91)	<0.0001*
Sex	males	153	60	0.107**
	females	73	43	
Serum KL-6 level (U/ml)		$375 \pm 232$ (130-1676)	$296 \pm 177$ (133-1660)	0.003*
Histology	adenocarcinoma	134		
	squamous cell carcinoma	53		
	adenosquamous carcinoma	9		
	large cell carcinoma	13		
	small cell carcinoma	10		
	pleomorphic carcinoma	5		
	unknown	2		
			Diseases of the control group	
Tumour size			3.3 $\pm$ 2.0 (0.4-11.4) cm	benign mediastinal tumour
				21
TNM	T			
	1a	69	lung abscess	1
	1b	54	scar and old inflammation	31
	2a	57	tuberculosis	4
	2b	19	atypical mycobacteriosis	11
	3	22	mycotic infection	5
	4	5	benign pulmonary tumour	3
	N			
	0	168	sarcoidosis	7
	1	12	pleural infection	3
	2	36	myasthenia gravis	2
	3	10	COPD	5
	M			
	0	210	congenital lung disease	3
	1a	6	pneumothorax	2
	1b	10	chest trauma	1
Stage	IA			
	IB	103	benign chest wall tumour	1
	IIA	34	benign pleural tumour	1
	IIB	21	benign tracheal tumour	1
	IIIA	12	silicosis	1
	IIIB	28		
		12		

Values are mean  $\pm$  SD (range) or number

\* p values indicate the results of ANOVA; \*\* p values indicate the result of a chi-square test.

**Table 1:** Patient characteristics.



	n	$m \pm SD$ (min-max) U/ml	p*	Positive cases	Positive rate (%)	p**
T	1a	69 $285 \pm 116$ (130-645)	<0.0001	5	7.2	<0.0001
	1b	54 $312 \pm 172$ (131-1160)		5	9.3	
	2a	57 $439 \pm 255$ (139-1450)		16	28.1	
	2b	19 $441 \pm 225$ (214-1065)		7	36.8	
	3	22 $586 \pm 395$ (188-1676)		10	45.5	
	4	5 $378 \pm 149$ (199-604)		1	20.0	
N	0	168 $343 \pm 217$ (130-1676)	0.0047	24	14.3	0.006
	1	12 $471 \pm 314$ (164-1154)		5	41.7	
	2	36 $465 \pm 261$ (131-1450)		11	30.6	
	3	10 $479 \pm 224$ (222-901)		4	40.0	
M	0	210 $359 \pm 219$ (130-1676)	0.0003	36	17.1	<0.0001
	1a	6 $591 \pm 459$ (164-1450)		3	50.0	
	1b	10 $570 \pm 269$ (253-1160)		5	50.0	
Stage	IA	103 $280 \pm 117$ (130-751)	<0.0001	7	6.8	<0.0001
	IB	34 $380 \pm 188$ (139-913)		7	20.6	
	IIA	21 $388 \pm 265$ (207-1154)		5	23.8	
	IIB	12 $633 \pm 461$ (188-1676)		6	50.0	
	IIIA	28 $411 \pm 198$ (131-1209)		7	25.0	
	IIIB	12 $434 \pm 217$ (199-901)		4	33.3	
	IV	16 $578 \pm 338$ (164-1450)		8	50.0	

\* p values indicate the results of ANOVA; \*\* p values indicate the results of a chi-square test.

**Table 2:** Serum KL-6 levels and positive rates in association with TNM stage in primary lung cancer.

The sensitivity, specificity, true positive rate, true negative rate, and accuracy in our patients were 31.4%, 99.0%, 98.6%, 38.2%, and 51.7% for CEA, and 16.8%, 97.9%, 94.9%, 33.9%, and 42.1% for CYFRA, respectively. Diagnostic properties of KL-6 for lung cancer were inferior to those of CEA and CYFRA. ROC curves are illustrated in Figure 3. The AUCs of KL-6, CEA, and CYFRA were 0.6348 ( $p=0.0015$ ), 0.8127 ( $p<0.0001$ ), and 0.7103 ( $p<0.0001$ ), respectively. The AUC of KL-6 was the lowest among these 3 tumour markers.

## Discussion

Several tumour markers are now clinically used in the diagnosis and monitoring of lung cancer [4,5]. CEA, CYFRA, and ProGRP are commonly used in lung cancer diagnosis and monitoring. CYFRA is

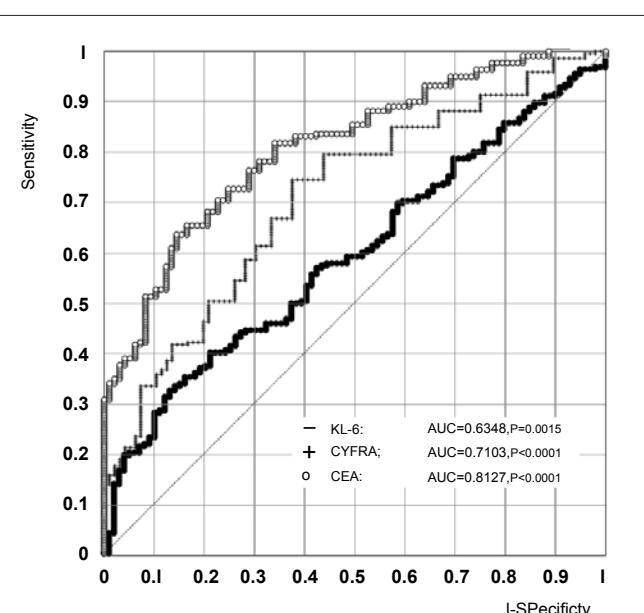
mainly applied to the diagnosis and monitoring of non-small cell lung cancer, and ProGRP is mainly applied to small cell lung cancer.

All substances used as serum tumour markers are also produced from normal tissue. Therefore, levels of tumour markers can still be detected in patients without malignancy. Tumour specific markers are useful only when production from cancer tissue is much higher than that from normal tissue. The sensitivity and specificity of a tumour marker are dependent on the difference in the rate of production between these two sources.

KL-6 was first detected by Kohno et al. [1] in 1988. They established a monoclonal antibody for KL-6 using culture medium of a human non-small cell lung cancer cell line VMRC-LCR. It is apparent that lung cancer cells have the ability to produce KL-6. Therefore, KL-6 was initially expected to be useful as a biological marker for malignant diseases. Consequently, there are many reports regarding elevated serum KL-6 levels in patients with malignancies [6-8].

Normal lung tissue also produces KL-6. KL-6 is mainly produced from type-II alveolar cells in the lung. The concentration of KL-6 in alveolar fluid is usually higher than serum concentrations of KL-6. In patients with active interstitial lung disease, regenerated alveolar cells produce a larger amount of KL-6 molecules, and therefore, the concentration of KL-6 in the alveolar lining fluid is elevated. Inflammatory changes in the lung cause hyperpermeability of pulmonary vessels. Therefore, KL-6 in alveolar fluid easily moves to the serum. These mechanisms result in elevated serum levels of KL-6 in active interstitial lung disease [9-11]. Therefore, KL-6 is a useful biological marker to evaluate the activity of interstitial lung disease [12-18].

When KL-6 is used as a biological marker for lung cancer, there is a possibility of a high false positive rate due to patients with active interstitial lung disease. Our study consisted of patients who were admitted to our department for surgical treatment. Patients with surgical indication do not usually have active or already diagnosed



		n	Sensitivity	Specificity	True positive rate	True negative rate	Accuracy	p
Total		226	19.5%	95.0%	91.7%	34.5%	42.8%	<0.0001
T	1a	69	7.2%	95.0%	55.6%	60.0%	30.9%	0.4868 (n.s.)
	1b	54	9.3%	95.0%	55.6%	66.2%	30.9%	0.2763 (n.s.)
	2a	57	28.1%	95.0%	80.0%	70.1%	34.3%	<0.0001
	2b	19	36.8%	95.0%	63.6%	88.9%	31.5%	<0.0001
	3	22	45.5%	95.0%	71.4%	88.9%	32.4%	<0.0001
	4	5	20.0%	95.0%	20.0%	96.0%	29.7%	0.2147 (n.s.)
N	0	168	14.3%	95.0%	85.7%	40.0%	36.7%	0.0068
	1	12	41.7%	95.0%	55.6%	93.2%	30.9%	<0.0001
	2	36	30.6%	95.0%	73.3%	79.3%	32.7%	<0.0001
	3	10	40.0%	95.0%	50.0%	94.1%	30.6%	0.0019
M	0	210	17.1%	95.0%	90.0%	35.6%	40.4%	0.0029
	1a	6	50.0%	95.0%	42.9%	97.0%	30.3%	<0.0001
	1b	10	50.0%	95.0%	55.6%	95.0%	30.9%	<0.0001
Stage	IA	103	6.8%	95.0%	63.6%	50.0%	31.5%	0.5375 (n.s.)
	IB	34	20.6%	95.0%	63.6%	78.0%	31.5%	0.0052
	IIA	19	21.1%	95.0%	50.0%	86.5%	30.6%	0.0201
	IIB	14	50.0%	95.0%	63.6%	93.2%	31.5%	<0.0001
	IIIA	25	24.0%	95.0%	60.0%	83.5%	31.2%	0.0039
	IIIB	15	33.3%	95.0%	55.6%	90.6%	30.9%	0.0016
	IV	16	50.0%	95.0%	66.7%	92.3%	31.8%	<0.0001

**Table 3:** Diagnostic properties of serum KL-6 levels in primary lung cancer.

interstitial lung disease. Our study only included 5 patients with proven interstitial pneumonia.

The current study represents one of the largest data sets reported for serum KL-6 levels in lung cancer. We found that serum KL-6 levels in lung cancer were significantly correlated with tumour size, pathological stage, and each TNM descriptor. These results indicate that KL-6 has potential as a biological marker for lung cancer.

Some reports have shown a correlation with patient prognosis and serum KL-6 levels in lung cancer [19-22]. Most of these reported patients were treated by epidermal growth factor receptor-tyrosine kinase inhibitors [19,20]. They reported that higher serum KL-6 levels are associated with a poorer prognosis. The results of these reports suggest a correlation with advanced disease and higher serum KL-6 levels in lung cancer patients.

The cut-off level of serum KL-6 for the diagnosis of activity of interstitial lung disease is 500 U/ml [1]. This is probably not the most suitable cut-off level for the diagnosis of lung cancer. The cut-off value that yielded the highest accuracy in our patients was 280 U/ml. This cut-off value provided an accuracy of 56.5%, a sensitivity of 57.1%, a specificity of 59.2%, a true positive rate of 75.4%, and a true negative rate of 38.6%. However, double standard cut-off values are confusing. Therefore, we applied the cut-off level for interstitial lung disease to the diagnosis for lung cancer in our study. The positive rate for KL-6 was lower than that of CEA, but higher than that of CYFRA. This suggests that KL-6 could be used as a biological marker for lung cancer when the absence of active interstitial lung disease can be proven by other diagnostic procedures. ROC analysis revealed that KL-6 had the lowest diagnostic property among the 3 biological markers in this study. This was caused by the highest false positive rate of KL-6 in the control group. KL-6 does not appear to be appropriate for use of differential diagnosis between lung cancer and other benign lung diseases.

In conclusion, KL-6 has a low specificity in the diagnosis of malignant disease. However, serum levels of KL-6 are well correlated with the progressiveness of lung cancer. Therefore, it might be useful as

a biological marker to monitor the recurrence and the effect of therapy in lung cancer.

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