Renal Dysfunction among HIV-infected Patients on Tenofovir-Based Antiretroviral Therapy at Ronald Ross Hospital in Zambia

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Abstract

**Objective:** Tenofovir Disoproxil Fumerate (TDF) is contraindicated for use in HIV infected patients when creatinine clearance (CrCl) is below 50ml/min. We determined prevalence and factors associated with renal dysfunction at 18 months follow up in adult HIV positive patients on TDF-based antiretroviral therapy (ART).

**Methods:** We conducted a cross sectional study of 445 HIV positive patients’ records on a TDF-regimen at Ronald Ross General Hospital in Zambia. Patient’s records in data management software (SMARTCARE) from 2008 to 2014 were reviewed to determine proportions of patients on TDF developing renal dysfunction. We estimated glomerular filtration rate (GFR) by creatinine clearance using the Cockcroft-Gault formula to determine renal dysfunction. CrCl level ≤ 50 ml/min indicated renal dysfunction. Multiple logistic regression was used to determine factors associated with renal dysfunction.

**Results:** At baseline (n=429), median age of patients was 35 years (IQR 30, 42), median CrCl level 106.2 ml/min (IQR: 79.9, 127.8). At 18 months, median CrCl 102 ml/min (IQR: 81.6, 123.2) which remained within normal physiological range (88-137 ml/min). Point prevalence of renal dysfunction was 18.6% (95% CI: 0.2-28.3). Multivariable analysis showed that ages <50 years than older (AOR: 0.06 95%CI 0.01-0.27; p<0.0001), and those with higher CD4+ cell count ≥ 350 cells/μL than lower (AOR: 0.19 95%CI 0.03-0.21; P=0.014) had lower likelihood of renal dysfunction.

**Conclusion:** We found a high prevalence of renal dysfunction among HIV positive adults on tenofovir-based therapy. This was concentrated in older patients with low CD4+ cell counts suggesting a need for close renal function monitoring in this population when initiating tenofovir-based treatment.

Keywords: Tenofovir; Creatinine clearance; Antiretroviral therapy; Renal dysfunction; Prevalence

Introduction

Despite the fact that drug tolerability has improved and current available regimens have a reduced pill burden, toxicity remains the major cause of drug discontinuation [1]. The objective would be to strategically detect toxicity, virological failure early, which leads to adherence interventions or changes in therapy. The World Health Organization (WHO) revised the treatment guidelines for antiretroviral therapy (ART) in 2009 with recommendation for replacement of stavudine with either tenofovir or zidovudine [2,3]. State provision of ART in Zambia began late 2002. Ultimately, the state aspires to provide universal treatment access, so that ART therapy is equally available to everyone who is eligible. The toxicity problems of Zidovudine and Stavudine as well as the problematic resistance associated with failure of therapy, the preferred backbone used today of Tenofovir Disoproxil Fumerate (TDF)-based gives more treatment options in case of resistance.

Zambia adopted the use of TDF-based regimen in a first line drug choice for all newly eligible Human Immunodeficiency Virus (HIV) positive patients in 2007 [3]. TDF is an oral produg of tenofovir, a nucleotide reverse transcriptase inhibitor (NRTIs) that has been indicated for use from many randomized trials [1,4-6] because of its favorable pharmacokinetic profile, availability as a once-daily fixed dose, good antiviral potency and high tolerability [3,7]. TDF has been considered safe and associated with fewer side-effects in many clinical trials [4,5,8]. However, there have been many case reports, cross sectional and cohort studies describing TDF associated and non-TDF associated nephrotoxicity [9-12]. However, there is increasing evidence suggesting that TDF has been associated with renal abnormalities [13] and more specifically that it can cause mild to moderate renal dysfunction [10,12-15]. Early stages of renal dysfunction is assessed through laboratory monitoring of creatinine and glomerular filtration rate (GFR) calculated by the Cockcroft Gault formula or the Modification of Diet in Renal Disease (MDRD) [14,16,17]. In many studies recommendations and guidelines have been made, protocols developed that monitoring of renal function should be done by use of creatinine clearance on patients especially those exposed to TDF in intervals. In this study, interest was to determine prevalence of renal dysfunction and the associated factors in patients exposed to TDF-based antiretroviral therapy.

National guidelines recommends prescribing TDF as part of a first line drug combination to all newly eligible adults with normal renal function, defined as a CrCl>50 ml/min. In Zambia, national guidelines recommends close renal monitoring at 2 weeks, 3 months and thereafter 6 monthly review of renal function for patients on ART. Mulenga and co-workers, recommends that if TDF is used as part of first line regimen, as is the case in Zambia, benefits should be weighed against
the more intensive renal monitoring that has to follow [9]. We argue that although TDF-based regimes are used, there is limited information on the proportion of patients that develop renal dysfunction. Further knowledge on the factors that may be associated with this development is also limited. In this paper, we aimed to determine the prevalence of renal dysfunction at 18 months follow up and the associated factors among HIV infected patients enrolled in an ART programme.

Methods

Study setting and population

Ronald Ross General Hospital is a level 2 hospital in Mufilira district of Zambia. It is a teaching hospital for nurses, pharmacy interns and is a main referral for over 18 clinics and one district hospital. The Counselling and Testing Center (CTC) at Ronald Ross General Hospital serves various members of the community in the district. The center has over 8,000 patients on ART. Patients receive multidisciplinary health care, including counselling, adherence meetings, follow-ups and reviews. The HIV/ART programme has been going on at the hospital since 2001.

Study design

Smart care database: The ART patient’s demography, laboratory profile, pharmacy management, or follow up data and other patient parameters such as review dates are entered in the data management software called SMARTCARE. Data at every patient review is entered into this software. We extracted data from this software and confirmed the data with the patient files for validation.

Renal dysfunction design: A minimum sample of 344 HIV positive patients was calculated at 90% statistical power to be the study size. Adjusting for loss to follow up at 80%, 430 patients were considered. The selection criteria for eligibility were availability of creatinine results at baseline, patients on TDF-based regimen with no confirmed renal abnormalities. Based on this criterion, 429 records with relevant information were reviewed and the data were validated with the patient files.

We focused on records of patients aged 15 years and above who were enrolled at CTC from February 11, 2008 through to September 15, 2014. The records were examined for completeness after being reviewed by a team composed of a biomedical scientist, pharmacist, health management information officer, medical doctor, and a nursing sister. Records that had baseline CD4+ cell count, weight, blood creatinine were selected into the study. Records of patients without creatinine results at baseline, patients on TDF-based regimen with no consideration. The selection criteria for eligibility were availability of creatinine measurements at baseline, patients on TDF-based regimen with no baseline CD4+ cell count, weight, blood creatinine were selected into the study. Records of patients without creatinine results at baseline, patients on TDF-based regimen with no

Data extraction

From the records that met the eligibility criteria, 429 records were extracted from SMARTCARE database for this study. This therefore composed the total sample conducted as part of the cross sectional study of HIV positive patients’ records on ART regimen defined according to the Ministry of Health consolidated antiretroviral therapy Standard Operating Procedure (SOP) for adults and adolescents [3]. After extraction, data were then re-entered into Excel database and thereafter exported into Stata (College Station, TX; version 12) for analysis. CD4+ cell count changes, creatinine levels and changes in weight at baseline and 18 months were also extracted and entered. Other patient demographic and medical characteristic such as education level, employment status, and use of concomitant medication such as, cotrimoxazole, and anti-mycobacterium tuberculosis medication were also extracted and entered.

Measurements

Measurements were carried out to determine values at baseline defined as ≤ 60 days of regimen before initiation and at 18 months after initiation of therapy. This included; CD4+ cell count (cells/μL), creatinine (μmol/L), urea (mmol/L) and weight (kg). Creatinine clearance was calculated using the Cockcroft-Gault formula with weight taken on the same day that creatinine measurements were performed. The Chronic Kidney Disease-Epidemiology Collaboration (CKD-EPI) equation is accurate than the other two-GFR-estimating equation [19] in common use. Rule et al. adds that the conclusion is based on equation performance in arbitrary combination of low-risk and high-risk patients. The Cockcroft-Gault formula was preferred because it is relatively easy to use compared to the MDRD. MDRD has not been validated in acute renal failure and since the formula does not adjust for body mass relative to the Cockcroft-Gault formula it underestimates GFR for weighted people and overestimates it for underweight people [8,15,20].

Estimated CrCl (mL/min)= 
\[(140-age [years] \times weight [kg]) \div (0.815 \times SCr [μmol/ L])\]

Multiplied by 0.85 for females.

Creatinine clearance was calculated at two time points before initiation and at 18 months of ART. Renal dysfunction at each time point was also classified into stages according to the Kidney Disease Outcomes Quality Initiative Classification (K/DOQI) criterion by GFR. The K/DOQI categorizes renal dysfunction as follows; CrCl≥ 90 ml/min considered no renal dysfunction; CrCl of 60–89 ml/min as mild renal dysfunction (Stage 2); CrCl of 30–59 ml/min as moderate dysfunction (Stage 3); and CrCl lower than 30ml/min as severe dysfunction (Stages 4 and 5). Renal dysfunction at 18 months was further categorized into CrCl ≤ 50 ml/min and >50 ml/min because current guidelines contraindicate use of TDF when CrCl falls below 50 ml/min.

Statistical analysis

All continuous variables were assessed for skewness. Variables with non-Gaussian distribution were compared using Wilcoxon signed-rank test and described by reporting their median and interquartile ranges. For categorical variables, proportions or percentages were reported and chi-square test used to assess associations between variables. Multivariable logistic regression analysis was performed to determine factors that are associated with renal dysfunction. All reported values are exact and two-tailed, p-value of <0.05 was considered significant. We measured changes in creatinine, CrCl, CD4+ cell count, urea and weight.

Ethical approval

The study was approved by The University of Zambia Biomedical Research Ethics committee (REF.No.003-07-15). The use of reference numbers (codes) provided the privacy, confidentiality of patient’s files and ensured the integrity of the data. Access to the data files and data extraction tools was highly restricted and codes have not been used in reporting. This did not infringe on participants’ privacy and was judged by ourselves to pose minimal to no risk. In addition to the above ethical measures, we also obtained permission from Ronald Ross General Hospital management to conduct the study.
Results

Baseline characteristics

At baseline (n=429), median age was 35 years (IQR: 30, 42), median weight was 55kg (IQR: 49.5, 61), CD4+ cell count was 213.5 cells/µL (IQR: 114, 339.5) and median baseline CrCl level was 10 ml/min (IQR: 79.9, 127.8). Most of the patients were females aged <50 years old, employed and had a secondary level education (Table 1). Baseline blood creatinine was within normal physiological functions for 241 adults and adolescents prior to initiating ART. On initiation, 429 patients were started on TDF correctly according to ART standard guidelines. Only blood creatinine, weight and CD4+ cell count were statistically significantly different at 18 months of TDF-based therapy (Table 2).

Renal dysfunction and associated factors

Point prevalence of renal dysfunction among HIV-positive adults exposed to TDF was 18.6% at 18 months follow up. Categorized by age, sex and other variables as depicted in Table 3, those who had a CD4+ cell count<350 cells/µL, age≥50 and high blood creatinine accounted for the highest prevalence relative to renal dysfunction case proportions. On multiple logistic regression analysis, Patients with a CD4+ cell count>350 cells/µL had decreased odds of developing renal dysfunction by 81% and this decrease could be as low as 79% to as high as 97% adjusting for other covariates as depicted in Table 3 with 95% CI. Those with higher CD4+ cell count>350 cells/µL had less likelihood of renal dysfunction.

In addition, patients older than 50 years were 0.06 times more likely to develop renal dysfunction adjusting for sex. CD4+ cell count, weight, exposure to Tubercle bacilli (TB) medication and blood creatinine [AOR: 0.06 95% CI: (0.01, 0.27); p<0.001]. Males were 1.61 times

### Table 1: Baseline characteristics of HIV patients on a Tenofovir-based ART therapy at Ronald Ross Hospital in Zambia.

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>n (%)</th>
<th>Median (IQR)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sex</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>129 (30.07)</td>
<td>-</td>
</tr>
<tr>
<td>Female</td>
<td>300 (69.93)</td>
<td>-</td>
</tr>
<tr>
<td>Age (years)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>15-34</td>
<td>203 (47.32)</td>
<td>29 (27, 32)</td>
</tr>
<tr>
<td>35-49</td>
<td>188 (43.82)</td>
<td>40 (37, 43)</td>
</tr>
<tr>
<td>50-80</td>
<td>38 (8.66)</td>
<td>56 (53, 61)</td>
</tr>
<tr>
<td>Education</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Primary</td>
<td>124 (34.44)</td>
<td>-</td>
</tr>
<tr>
<td>Secondary</td>
<td>171 (47.50)</td>
<td>-</td>
</tr>
<tr>
<td>Tertiary</td>
<td>65 (18.06)</td>
<td>-</td>
</tr>
<tr>
<td>Employment Status</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Employed</td>
<td>198 (59.82)</td>
<td>-</td>
</tr>
<tr>
<td>Not employed</td>
<td>133 (40.18)</td>
<td>-</td>
</tr>
<tr>
<td>Blood Creatinine (µmol/L)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Low</td>
<td>192 (44.76)</td>
<td>50 (41, 53)</td>
</tr>
<tr>
<td>High</td>
<td>237 (55.24)</td>
<td>70.5 (65, 80)</td>
</tr>
<tr>
<td>CD4+ Cell count (count/µL)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&lt;350</td>
<td>334 (77.86)</td>
<td>170 (85, 240)</td>
</tr>
<tr>
<td>&gt;350</td>
<td>95 (22.14)</td>
<td>435 (386, 533)</td>
</tr>
<tr>
<td>Creatinine Clearance (ml/min)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&lt;50</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>≥ 50</td>
<td>429 (100)</td>
<td>106 (79.9, 127.7)</td>
</tr>
<tr>
<td>Antiretroviral Drug Combinations</td>
<td></td>
<td></td>
</tr>
<tr>
<td>TDF/FTC/EFV</td>
<td>102 (23.15)</td>
<td>-</td>
</tr>
<tr>
<td>TDF/FTC/NVP</td>
<td>327 (76.85)</td>
<td>-</td>
</tr>
<tr>
<td>TDF/FTC/LPV/3TC</td>
<td>0</td>
<td>-</td>
</tr>
</tbody>
</table>

Note: 1) The total sample size, n=429. 2) Descriptive statistics described using median and interquartile range (IQR) 3) TDF: Tenofovir; FTC: Emtricitabine; EFV: Efavirenz; NVP: Nevirapine

### Table 2: Clinical characteristics of HIV patients at baseline and 18 months follow up on a Tenofovir-based ART therapy at Ronald Ross Hospital in Zambia.

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>Proportion renal</th>
<th>Prevalence (%)</th>
<th>Adjusted Odds</th>
<th>P-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Renal dysfunction</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Normal GFR</td>
<td>116 (106, 137)</td>
<td>71.4 (67, 75.65)</td>
<td>0.98</td>
<td></td>
</tr>
<tr>
<td>Slightly decrease GFR</td>
<td>73.1 (67.2, 77)</td>
<td></td>
<td>&lt;0.001</td>
<td></td>
</tr>
<tr>
<td>Moderate decrease GFR</td>
<td>55 (53.55, 57.8)</td>
<td></td>
<td>0.40 (44, 55.3)</td>
<td>&lt;0.0001</td>
</tr>
<tr>
<td>Severe decrease GFR</td>
<td>29.9 (29, 28.9)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Kidney failure</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Note: 1) Sample size, n=429 2) Median (IQR), Wilcoxon signed-rank test 3) Mean (SD), Two-sample test of proportions and Chi-square test (Categorical variables)
In Zambia, patients begin on TDF combined therapy, and this is life-long likely to develop renal dysfunction. The higher the age, the more likely a baseline creatinine within the normal physiological levels were less actually fulfilled the criteria for Fanconi syndrome. The development of renal dysfunction in this population. These findings noticed in older patient above 50 years adjusting for CD4+ cell count at 18 months. Among those who presented with CrCl>50 ml/min two 50 years (Table 4). There was a high prevalence of renal dysfunction in 429 (100%) patients at baseline, 415 (97%) at 6 months, 325 (78%) at 18 months follow up. The median CD4+ cell count increased from baseline, two actually fulfilled the criteria for Fanconi syndrome. It is possible that the prevalence will be an under-estimate. This is because there were 16 patients who had CrCl ≤ 50 ml/min at baseline but were initiated on TDF (in error) suggesting that screening at initiation is not intensified. This further suggests that there are cases of renal dysfunction in this population that are not noticed during patients’ review visits. For instance, we found that over one-third of population had no creatinine clearance calculated according to recommended national guidelines.

In multivariable analysis, patients who had a baseline CD4+ cell count >350 cells/μl than <350 cells/μl (AOR: 4.04 95%CI: (0.77, 21.30); p-value=0.125), further having a physiologically normal baseline urea and creatinine level was less likely associated with renal dysfunction. Patients who were old than 50 years and had a CD4+ cell count <350 had more likelihood of renal dysfunction than those below 50 years (Table 4). There was a high prevalence of renal dysfunction noticed in older patient above 50 years adjusting for CD4+ cell count.

In Table 4: CD4+ cell count difference of renal dysfunction in HIV patients on TDF at 18 months follow up by age categories at Ronald Ross Hospital Zambia. The high prevalence of renal dysfunction evidenced in this population can be explained by the long duration of the disease among the population. In fact, it is possible that the prevalence will be an underestimate. This is because there were 16 patients who had CrCl ≤ 50 ml/min at baseline but were initiated on TDF (in error) suggesting that screening at initiation is not intensified. This further suggests that there are cases of renal dysfunction in this population that are not noticed during patients’ review visits. For instance, we found that over one-third of population had no creatinine clearance calculated according to recommended national guidelines.

Renal dysfunction deaths in Zambia stood at 16.37 per 100,000 in 2014 [25]. Despite being one of the countries affected with high HIV burden, there is limited data on renal disease and the risk factors predisposing the population to it. TDF currently is a recommended first-line agent in combination with other antiretroviral drugs for HIV management in Zambia. This is because of its favorable pharmacokinetic profile, good antiviral potency and high tolerability [3,7]. The high number of renal dysfunction cases missed at initiation and follow up suggests that screening of patients prior to initiation is rare. This emphasizes the importance of routine follow up of creatinine clearance prior to TDF initiation as recommended. Although discontinuation of TDF resulted in renal recovery in the majority of the cases, some patients however experienced chronic kidney disease up to the end of study. Among those who presented with CrCl>50 ml/min at baseline, two actually fulfilled the criteria for Fanconi syndrome. It is not surprising; however, that such small incremental decline in renal function could not be noticed considering that most clinicians do not follow GFR but rather blood creatinine and urea. The gradual increase in CD4+ cell count observed is reassuring because lower CD4+ cell count is independently associated with accelerated kidney dysfunction. In this study, creatinine clearance monitoring as recommended was not consistently performed. This is because there were 16 patients who had CrCl ≤ 50 ml/min at baseline but were initiated on TDF (in error) suggesting that screening at initiation is not intensified. This further suggests that there are cases of renal dysfunction in this population that are not noticed during patients’ review visits. For instance, we found that over one-third of population had no creatinine clearance calculated according to recommended national guidelines.

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In the public health approach [24] to ART scale up that occurs in Zambia, screening for renal impairment prior to starting ART does not routinely take place as evidenced by high number of creatinine clearance performed. There are several reasons why screening for renal impairment may improve patient outcomes. First and foremost, clinicians can apply more intensive follow up procedures for such patients at higher risk of renal dysfunction and reduce mortality related to ART [9,21]. Monitoring of renal function includes estimating GFR and

<table>
<thead>
<tr>
<th>Group with young</th>
<th>CD4+cell difference</th>
<th>Prevalence (%)</th>
<th>aOR (95% CI)</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>≤ 350 patients</td>
<td>0.56 (177)</td>
<td>Ref</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;350 patients</td>
<td>4.87 (41)</td>
<td>0.24 (0.06-0.79)</td>
<td>0.011</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Group with older patients</th>
<th>CD4+cell difference</th>
<th>Prevalence (%)</th>
<th>aOR (95% CI)</th>
<th>p-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>≤ 350 patients</td>
<td>2.34 (171)</td>
<td>Ref</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;350 patients</td>
<td>7.69 (56)</td>
<td>4.25 (1.26-14.33)</td>
<td>0.019</td>
<td></td>
</tr>
</tbody>
</table>

Notes: 1) Sample size n=429. 2) Those with CrCl<50 ml/min at start of treatment were excluded 3) age defined: younger <50 years and older >50 years 4) aOR:Adjusted Odds Ratios

Table 4: CD4+ cell count difference of renal dysfunction in HIV patients on TDF at 18 months follow up by age categories at Ronald Ross Hospital Zambia.

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the detection of proteinuria at regular intervals. A tubular dysfunction is an early event that precedes the decline in glomerular filtration rate. With high mortality rates (214%–216%), due to renal dysfunction more
dependent on cause of kidney injury and co-morbidities [21], indicate
why intensive renal monitoring is vital. Secondly, failure to change the
ART regimen early on patients at risk of renal dysfunction can increase
mortality. This can only be reduced if and when the GFR can routinely
be monitored in this ART program. Thirdly, patients with HIV and
renal impairment may benefit from management of their renal problem.
As evidenced in this study, quite a number of ineligible patients who
should not begin on TDF-based therapy are missed at initiation.

Our limitations in this study had to do with how we defined renal
dysfunction, which differed, in our analysis with other studies such as
Freeman et al. and Szczesn et al. We used estimated creatinine clearance as
a categorical variable to define renal dysfunction and not serum creatinine
as a continuous predictor variable. In addition, some of these studies that
demonstrated low mild or severe renal dysfunction prevalence due to TDF
had more covariates (diabetes, Hepatitis co-infection and hypertension)
than we did. Thus, these studies had the ability to control for a variety of
covariates potentially associated with renal dysfunction. These covariates
have been described in other studies to be risk factors accounting for
214%–216% of renal dysfunction, as thus our prevalence maybe an underestimate.
Furthermore, one cannot rule out the possibility of measurement bias in
the way the study collected the results. The strength of our study lies in
the fact that we used data from a routine ART programme setting in an
established programme using SMART CARE and verified with patients
files. The data provided a useful comparison to data derived from more
controlled and better resource research settings.

Conclusion and Recommendation

This study has shown differential effects of patients CD4+ cell count,
age, and ART on the risk of renal dysfunction. We found a high burden
of TDF-based antiretroviral therapy associated renal dysfunction among
HIV-infected in our study population. Renal dysfunction was concentrated in older patients with low CD4+ cell count. Thus, close
renal monitoring in these patients when initiating TDF-based treatment
should be intensified.

Although efficacious, TDF exposure must always be accompanied by
more intensive renal monitoring particularly in patients at higher
risk of renal dysfunction. Long-term monitoring of renal function
by creatinine clearance at appropriate intervals accompanied by
measurement of creatinine is vital. Considering the high burden of
HIV and the cost of laboratory monitoring in resource-limited sites,
implementation of TDF-based therapy should balance the long term
renal monitoring with cost effectiveness.

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