

Determinants and Food Security Impacts of Small-Scale Irrigation in Ethiopia

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Abstract

Ethiopia is principally an agronomic country with the vast majority of its people directly or indirectly involved in agriculture. Agriculture in the country is regularly small-scale rainfall reliant, traditional and survival farming with limited access to technology and institutional support services. The objective of this review was to review determinants of Small-scale irrigation participation and its impact on household food security. The review indicates that a much higher proportion of those who are poor are non-irrigating rather than irrigating households. Thus, the food insecurity occurrence in non-irrigating households is greater than in irrigating households. This suggests that small-scale irrigation has an important influence on household food security. This analysis shows that use of small-scale irrigation reduces the probability of a household being poor, controlling other factors. There were several challenges of irrigation use such as Lack of capacity to build irrigation canals by own resources, Lack of irrigable farm land, insufficient flow of water, Lack of extension support by government office, Lack of access to other inputs and Entry barriers by set by irrigation water users' association.

Keywords: Use of Irrigation • Binary logistic model • Propensity score matching • Food security

Background

Ethiopia is principally an agronomic country with the vast majority of its people directly or indirectly involved in agriculture. Agriculture in the country is regularly small-scale rainfall reliant, traditional and survival farming with limited access to technology and institutional support services [1].

Irrigation in Ethiopia contributes to increase the farmers' income, household resilience and buffering livelihoods against shocks and stresses by producing higher value crops for sale at market and to harvest more than once per year. In turn, this provided them to build up their assets, buy more food and non-food household items, educate their children, and reinvest in further increasing their production by buying farm inputs or livestock. However, the benefits are very unevenly distributed among households [2].

Agricultural production in Ethiopia is primarily rain-fed, so it depends on erratic and often unbalanced rainfall. As a result, there are frequent failures of agricultural production. Irrigation has the potential to stabilize agricultural production and mitigate the negative impacts of variable or insufficient rainfall. Irrigation plays a great role in agricultural production through increasing crop yields, and enabling farmers to increase cropping intensity and switch to high-value crops [3]. Particularly small-scale irrigation is one of the major programs to

improve agricultural production in the rural households of a country. It helps less income and food insecure households to overcome shortage of rainfall by giving optimal water for irrigation agriculture and livestock, strengthen the base for sustainable agriculture, provide increased food security to poor communities through irrigated agriculture and contribute to the improvement of human nutrition [4].

According [5], the reasons for the poor development of irrigation in the region (country) are fragmented and small farmland, political instability, lack of technologies, government owned land policy, lack of financial resources, and weak institutional set up in the country.

The major limitations that constrained the development of the irrigation sub-sector are: (i) Agriculture is subsistence and predominantly based on traditional farming systems; (ii) Inadequate improved agricultural inputs; (iii) Limited access to improved irrigation technologies; (iv) Inadequate trained human power; (v) Inadequate extension services and limited availability of capital; (vi) Absence of appropriate institutions at different levels responsible for the promotion, planning and development of irrigated agriculture; (vii) Inadequate information system on agricultural water management and irrigation development [6].

The study area has high water potential; farmers in the areas have long history of traditional practices and by now there is a better irrigation activity that gives opportunity to government in developing

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modern small-scale irrigation schemes. Irrigation is assumed to improve agricultural production and food security. However, it is not well known to what extent the households using irrigation are better off than those who depend on rainfall in the study area. Where this review initiated to conducted, an in-depth comparative analysis studies are scarce on factors that determine households' participation in small-scale irrigation and also there are no empirical studies conducted in the district for assessing impact of small scale irrigation on household food security status. Therefore this review is tried to fill these gaps.

Determinants and Food Security Impacts of Small-Scale Irrigation

Definitions and concepts of irrigation

Irrigation: is defined as the artificial application of water to the crop for the purpose of food and fiber production overcoming deficiencies in rainfall and help in creating stabilized agriculture [4]. It is application of artificial water to the living plants for the purpose of food production and overcoming shortage of rainfall and help to stabilize agricultural production and productivity [7].

Small-scale: irrigations are type of irrigations that defined as schemes that are controlled and managed by the users. Small-scale schemes developed, operated and maintained by individuals, families, communities, or local rules and landowners, independently of government [8]. In the same way, Small-scale irrigation is a type of irrigation defined as irrigation, on small plots, in which farmers have the controlling influence and must be involved in the design process and decisions about boundaries [9].

Water is a basic need for human beings and animals. It is essential for their metabolic processes. It is used to build healthy Workforce, Ensuring Food Security, Provision of Clean energy for Agriculture, Industry & Service Maintenance of Healthy Ecosystem, Recreation (Aesthetic Value), Transportation, Hedge against climate change and variability catalyst [10]. The most essential use of water in agriculture is for irrigation to produce enough food.

According [7], irrigated agriculture can be defined as the supply of water increased by artificial means, involving the use of water controls technology and including drainage to arrange excess water. Irrigation has been practiced in Egypt, China, India and other parts of Asia for a long period of time. Ethiopia also has a long history of traditional irrigation system (mainly diversion schemes). It enables farmers to increase crop production and achieve higher yields, food availability and affordability for non-irrigators and reduces the risk of crop failure if rain fails [11]. Irrigation contributes to agricultural productivity through solving the rainfall shortage, motivates farmers to use more of modern inputs and harvest throughout the year and creates employment to members of the households [7].

History of irrigation development in Ethiopia

Traditional irrigation is very old in Ethiopia. The traditional small-scale schemes are, in general, simple river diversions it is practiced in Ethiopia since ancient times producing subsistence food crops. However, modern irrigation systems are started in the 1960s with the objective of producing industrial crops in Aish Valley. The potential of

irrigation water in Ethiopia is quite high and its drainage pattern is of great importance to its neighboring countries. From the total potential area, the area irrigated is low and the reasons on the past regime is due to lack of fund, data on different factors of natural resources, infrastructure, skill, research and suitable policy and hydro-politics of the region.

Irrigation is a very early agricultural practice which is extensively used by a number of early civilizations such as the ancient Egyptians and Ethiopians Grove (1989) as cited by [12]. Evidence also shows irrigation has been practiced in Egypt, China, India and other parts of Asia for a long period of time. Irrigation is the basis of civilization in many regions. For instance, Egyptians have depended on Nile's flooding for irrigation continuously for a long period of time on a large scale [13].

Irrigated agriculture is not an entirely new phenomenon in Ethiopia. As some literatures indicated, Small-scale traditional irrigation has been practiced for decades throughout the highlands where small farmers could be diverted seasonally for limited dry season cropping.

Modern irrigation has documented in the 1960s where the government designed large irrigation projects in the Awash Valley to produce food crops for domestic consumption and industrial crops for exports and it is strongly believed that rain fed agriculture should be supplemented by irrigation in order to achieve national food self-sufficiency and ensure household food security. The total irrigation potential in Ethiopia is 3,798,782 hectare but currently irrigation schemes have covered only 368,160 hectare, 10% of the potential [14].

Classification of irrigation developments in Ethiopia

According to Fuad [15], irrigation in Ethiopia can be classified in to three:

1. Small-scale irrigation which are often community based and traditional methods covering less than 200 hectares.
2. Medium scale irrigation which is community based or publicly sponsored, covering 200 to 3000 hectares.
3. Large scale irrigation covering more than 3000 hectares, which is typically commercially or publicly sponsored.

With regards to operation, management and performance of large-scale irrigation schemes in Africa [16], identified the following special weaknesses:

- Over sizing government and administrations, leading to excessive recurrent costs
- Lack of management and technical skills
- Lack of consistent policy and failure to plan for the medium and long term
- Political interference in technical and economic decision making and failure to delegate authority as well as responsibility
- Lack of foreign exchange for such essentials as fuel, spare parts and replacement machinery
- Failure to give adequate return to farmers, leading to their abandoning the schemes

Due to such problems in large-scale schemes, small-scale irrigation has been increasingly recognized as a valid and attractive option in irrigation development both by government and donor agencies. In a more practical sense, small-scale irrigation developments are concentrated with the upgrading of traditional community irrigation or village irrigation systems, newly designed and constructed irrigation systems and ground water and pump development [17]. Such upgrading of irrigation works are the major functions of all river diversion irrigation projects that have been undertaken in different parts of Ethiopia.

According to the Ministry of Water Resource (2002) the main advantages of small-scale irrigation schemes are:

- Much lower investment costs, and in a majority of cases these costs are borne by the community
- Do not involve dams or storage reservoirs, hence no population displacement is involved
- Less demanding in terms of management, operation and maintenance
- No land tenure or resettlement implications
- No serious adverse environmental impact
- Allow a wider diffusion of irrigation benefits and permit farmers to learn irrigation techniques at their own pace and in their own way.

The Ethiopian irrigation strategy

Ethiopia has a massive water resource probable to be consumed for irrigated agriculture and hydroelectric power generation. Since the 1950s large-scale irrigation scheme with modernization of agricultural activities especially in ash valley are under taken for the production of industrial crops. But, from 1980s the significance of small-scale system is identified as a response to tackle the recurrent drought.

The Ministry of Water Resources (MoWR) is responsible for the overall planning, development, management, utilization and protection of the country's water resources, as well as supervising all water development activities carried out by other organizations. Large-scale water supply is also handled by the ministry through its Water Supply and Sewerage Department [4].

The overall purpose of the Irrigation Policy, which is one section of the Water Resources Management Policy, is to develop the huge irrigated-agriculture potential for the production of the food crops and raw materials needed for agro-industries in a sustainable ways [4]. The policy emphasizes:

Water Resource Sector Policies have been developed and short-, medium- and long-term Sector increase Programs prepared for the period 2002-2016. These strategies include the supporting of water resources management and development; the creation of an enabling environment; Tran's boundary rivers management; stakeholder participation and gender mainstreaming; disaster-prevention and public care, and environmental health standard [4].

Irrigation development is taken as one of the pillars of the plan for the modernization of the agriculture sector, which is conceptualized by the government of Ethiopia as the main instrument for operationalizing the Agricultural Development Led Industrialization (ADLI). This strategy gives a strong focus on increasing the agricultural productivity by addressing the problem of shortage of water through the introduction of irrigation development goals. In line

with this objective, the Government of Ethiopia's (GoE) policy towards irrigation management and development has been outlined in the Water Resources Management Policy. This policy document issued in 1999, elaborates blueprint on the management of water supply, sanitation, irrigation and hydropower sectors. The overall goal of the Ethiopia Water Resource Management Policy is to enhance and promote all national efforts towards the efficient, equitable and optimum utilization of the available water resources of the country, to ensure significant socioeconomic development on a sustainable basis Haile. The specific objectives of the policy are to:

- promote the development of the water resources of the country for economic and social benefits of the people, on an unbiased and sustainable basis;
- allocate and correctly apportion the water, based on wide-ranging and integrated plans, and optimize the allocation principles that incorporate efficiency of use, equity of access, and sustainability of resources;
- Manage and combat drought as well as other drought associated impacts, and disasters through efficient allocation, redistribution, transfer, storage and efficient use of water resources; and
- Support, protect and enhance water resources and the overall aquatic environment on a sustainable basis.

The general policies to irrigation sub-sector are: to

- Ensure the full integration of irrigation with the overall framework of the country's socioeconomic development plans, with particularly reference to the Agricultural Development Led Industrialization (ADLI) Strategy.
- Promote the development of irrigation based on strong strategic planning to achieve the socioeconomic goals and participatory approach for promoting efficiency and sustainability.
- Develop irrigation within the framework of the overall water resources management policy.
- Allocate a reasonable share of annual GDP for irrigation development.
- Promote decentralization and user-based management with a special emphasis to the needs of rural women's participation.
- Develop a hierarchy of schemes on the basis of achieving food self-sufficiency and production of industrial raw materials.
- Support and modernize traditional irrigation methods by providing inputs that would improve their efficiency and sustainability.
- Protect and maintain acceptable water quality standards for irrigation.
- Develop water allocation and priority setting criteria.
- Integrate the provision of appropriate drainage facilities as an integral operating procedure of the irrigation infrastructure.

The policy recognizes and adopts the hydrologic boundary or "basin" as the fundamental planning unit and water resource domain. It also describes policy on a variety crosscutting issues which include groundwater resources management, watershed management, water-rights allocation, full involvement and participation of all stakeholders in all phases of the project, developing various norms, and procedures and guidelines in regards to financial sustainability. Furthermore, the promotion of credit and cost recovery mechanisms as well as institutional capacity building and improvement in productivity, development of appropriate and affordable designs and

technologies technical guides, standards and design manuals are considered in the policy. Finally cohesive effort and goal setting has been identified to mitigate the negative environmental impacts.

Methods of irrigation

Irrigation methods: are the system how to obtain water for irrigation purposes from its sources is. It depends on water resources, water rules, rain water, topography, plants cultivated and growing seasons [18]. There are only two general methods of applying irrigation water. 1 surface irrigation 2. Sub-surface irrigation

Surface irrigations: are the oldest methods of irrigation, which convey water from the survey to the fields in lined or unlined channels. Surface irrigation is the introduction and distribution of water in a field by the gravity flow of water over the soil surface.

Basin irrigation: is the most common form of surface irrigation, particularly in regions with layouts of small fields. A basin is a piece of land, small or large, surrounded by earth bunds in which water is ponded.

Furrow irrigation: is accomplished by running water in small channels that are constructed with or across the slope of a field. Furrow irrigation avoids flooding the entire field surface by channeling the flow along the primary direction of the field using 'furrows,' 'creases,' or 'corrugations.'

Border irrigation: is an open-field method viewed as an extension of basin irrigation to sloping, long rectangular or contoured field shapes, with free draining conditions at the lower end. Here a field is divided into sloping borders.

Flood irrigation: is an ancient method of irrigating crops. It is likely the first form of irrigation used by humans as they began cultivating crops and is still one of the most commonly used methods of irrigation used today.

Drip irrigation: is one of the more advanced techniques being used today because, for certain crops, it is much more efficient than flood irrigation, where a larger portion of the water is lost to evaporation. Drip irrigation is practiced in dry, arid regions where water is scarce and must be used sparingly.

Sprinkler irrigation: is sprayed into the air and allowed to fall on the ground surface somewhat resembling rainfall. According to [18]. Sprinkler irrigation imitates rainfall. It is also called overhead irrigation

Empirical review on small scale irrigation

Employed logit regression model to identify determinants of Small-scale irrigation use at Boloso Sore district of Southern Ethiopia [19]. Logistic regression model result indicates, four variables are found to be significant namely training, land size and labor which had significant and positive effect on the use of irrigation water use while, distance from the river had significant and negative effect on the use of irrigation water.

Employed the binary logistic regression analysis to recognize the determinant factors that influence the use of irrigation water conducted at Arba Minch Zuria Woreda [20]. The results show that sex of respondents; household size engaged in the agricultural labor force and number of contact of respondents with agricultural development agents per month had significant positive effect on the

use of irrigation water. While education level and attending on training had significant positive effect on the use of irrigation. And also, farm distance from the river and the main irrigation canal had significant negative effect on the use of irrigation water.

Tizita Damtew in their study applied binary logistic regression analysis to examine the determinants of the performance of small scale irrigation in improving household farm income in Hadiya zone, Ethiopia. The result shows that five determinant factors, education level of respondents, household labor and land holding size, household income had significant positive effect on the use of irrigate on water [21]. While, age of respondents and farm distance from river had significant negative effect on the use of irrigation water.

Agerie Negalssihun in their study applied Heckman's two-stage to investigate the factors that affect participation of smallholder farmers in small-scale irrigation on the income of rural farm households in Dembia Woreda of North Gondar Zone [22]. He revealed that distance from households farm to the nearest market center, education level of the household head, distance from households residence to the water source, access to extension service, total livestock holding, access to information, availability of family labor force, access to credit and gender of the household head are important determinants for participating in small-scale irrigation schemes. The analysis further revealed that irrigation participation, access to credit, gender of the household head, size of cultivated land, access to extension service and total livestock holding are positively and significantly associated with household total annual income.

MuezHaileleulAregawi in their study discussed about employed Heckman's two-stage to assess the impact of small-scale irrigation in ensuring rural households food security in EmbaAlaje Woreda Tigray Regional state; Ethiopia [23]. The result shown that sex, education level, cultivated land, distance to FTC, access to extension services, access to credit and water availability are the determinant households' participation in small-scale irrigation whereas household size, cultivated land, soil fertility, total livestock household consumption.

Tizita Damtew in their discussion used to binary logistic regression assesses the effect of small scale irrigation on household food security in Bona-Zuria Woreda. He reported that family labor, education level, land size, access to irrigation, health status of household heads and participation in nonfarm activities has positively and significantly affected household food security [24]. In contrast age of household head and dependency ratio has negatively and significantly affected household food security.

Aboneesh in their study concluded about employed Heckman two-step to analyze the impact of small scale irrigation on household food security and also to describe the management systems of the schemes [25]. She reported that to determinant participation in irrigation are: nearness to the water source, household size, household size square, size of cultivated land, livestock holding, farmer's perception of soil fertility status and access to credit service. After the selectivity bias is controlled by the model the second stage the following variables are found to significantly determine household food security: access to irrigation, household size, household size square, sex of the household head, size of cultivated land, access to extension service and nearness to the water source.

Tafesse in their discussion applied Heckman two stages to identify socio-economic and institutional determinants of small scale irrigation water use participation decision of farmers and the intensity of irrigation water use in Delo Mena and Berbere districts of Bale lowlands, southeastern Ethiopia [26]. Econometric results of the Heckman second stage estimation procedure showed that access to improved technology for irrigation, market distance, distance from the main road, total labor in man equivalent, insect and pest and post irrigation implementation participation are found to significantly affect intensity of irrigation water use of the households.

Challenges of small scale irrigation development and utilization

Irrigation has the potential to stabilize agricultural production and mitigate the negative impacts of variable or insufficient rainfall in Ethiopia. In some areas of the country including the study area, delayed entrance of rainy seasons, early withdrawal and maldistribution of rain were challenges from which great lessons have been drawn to seriously look into expansion of small, medium and large scale irrigation in perspective. Especially, in the study area there are many problems towards the development and management of irrigation schemes such as physical factors, technical factors and socio-economic factors.

Small-scale irrigation has immense potential to improve the incomes of poor rural households in developing countries like Ethiopia, it is never free from problems. These problems of small-scale irrigation technology development range from individual household's biased attitudes to institutional arrangements. Accordingly, The major problems encountered in small-scale irrigation are problems related to cost, institutional problems, the policy environment, design issues, cultural factors and environmental problems [27]. As study by also revealed that the problems of small-scale irrigation are problems related to lack of water, problem in the distribution of water, pests and diseases, thief and other animals eat the irrigation products, lack of supply inputs specially late supplying means no supplying in time. Other factors like design issues, cultural factors, environmental problems are also there. In the same manner to the above mentioned [28], generally characterize Small-scale irrigation schemes in Ethiopia as there are low Civil and Environmental Research efficiency, lack of finance, inadequate marketing, weak extension services.

Opportunities of small scale irrigation use

According to the Ethiopian Growth and Transformation Plan (GTP 2010/11-2014/15), the main objectives of the water sector development plan are to develop and utilize water for different social and economic priorities in a sustainable and equitable way to develop irrigation schemes so as to ensure food security, to supply raw materials for agro-industries and to increase foreign currency earnings. In irrigation sub sector, the country is believed to have the potential of 5.1 million hectares of land that can be developed for irrigation through pump, gravity, pressure, underground water, water harvesting and other mechanisms. Thus, irrigation development, particularly small scale irrigation was be accelerated [29].

According to Haile [9], there are four interrelated mechanisms by which irrigated agriculture can reduce poverty, through: (i) increasing production and income, and reduction of food prices, that helps very

poor households meet the basic needs and associated with improvements in household overall economic welfare, (ii) protecting against risks of crop loss due to erratic, unreliable or insufficient rainwater supplies, (iii) promoting greater use of yield enhancing farm inputs and (iv) creation of additional employment, which together enables people to move out of the poverty cycle. In the same way, Zhou and others mentioned that irrigation contributes to agricultural production in two ways: increasing crop yields, and enabling farmers to increase cropping intensity and switch to high-value crops. Therefore, irrigation can be an indispensable technological intervention to increase household income. Irrigation can benefit the poor specifically through higher production, higher yields, lower risks of crop failure, and higher and all year round farm and non-farm employment.

Determinants of small scale irrigation development and utilization

Irrigation can benefit the poor specifically through higher production, higher yields, lower risks of crop failure, and higher and all year round farm and non-farm employment [30]. Farmers in poor areas have suffered from chronic poverty and severe food insecurity being vulnerable to climatic changes and dependent on variable rainfall. This is mainly attributed to a low level of agricultural productivity. Such low productivity areas are characterized by persistent rural poverty, and increasing population pressure has often resulted in a vicious circle of poverty and environmental degradation [31].

As many of the low productivity areas have untapped water resources, irrigation development is being suggested as a key strategy to enhance agricultural productivity and to stimulate economic development [32]. In the contemporary literature, irrigated farming is recognized as central in increasing land productivity, enhancing food security, earning higher and more stable incomes and increasing prospects for multiple cropping and crop diversification [30]. Further investment in complementary infrastructures (credits, extension and markets) can produce a spillover effects to neighboring farmers [25].

Contribution and impact of irrigation

Demand for irrigation water can be regarded as a derived demand for food. Currently many irrigation authorities started to hand over the management, operation, and maintenance of irrigation schemes to the user. According to [32], the potential contribution of irrigation towards farm output growth and the total beneficial impacts of irrigation development, both direct and indirect.

- Increased crop production (yield improvement) and increased farm income.
- Increased cropping intensity and crop diversification opportunities and the feasibility of year round crop production activities.
- Increased farm employment; more employment opportunities for farming families as well as for hired laborers in the locality.
- Increased farm consumption and increased permanent capital (permanent asset accumulation due to irrigation). This has significant implication for reducing inherent food insecurity.

- Reduced food (crop) prices allowing access to food for all, which is more beneficial to landless and subsistence families and provides better nutrition intake.
- Increased access to farm link roads
- Multiple uses of water for livestock and home gardens.
- Increased recharge of groundwater, easy access to groundwater and less drudgery for women in fetching water for daily household needs.
- Visual and recreational benefits accrue out of irrigation facilities.
- Increased farm income (for farmers) and increased on farm and off-farm employment opportunities for rural landless laborers resulting in better school attendance of children of farm laborers and improved social capital in society. This is due to the income effects of irrigation.
- Improved rural infrastructure always coincides with irrigation facilities. This greatly reduces transaction costs and rural marketing costs. The benefits generated by these activities are also called indirect benefits of irrigation investments.

Small-scale irrigation and food security

This study reviewed the economic contribution of small scale irrigation on rural household food security. Irrigation investment in India enabled farmers to increase diversification of crops, and use of more chemical inputs like pesticides, fertilizers or improved seed varieties [32]. and switched from low-value subsistence production to high-value market-oriented production in China [33]. Farmers in rural areas suffered from persistent poverty and food insecurity due to climatic changes and dependent on variable rainfall. This leads to low agricultural productivity. As a result, the low productivity areas characterized by persistent rural poverty and increasing population pressure have often resulted in a vicious circle of poverty and environmental degradation [14]. As many of the low productivity areas did not use water resources, irrigation development is recognized as a backbone of agricultural productivity, enhancing food security, earning higher incomes and increasing crop diversification [17]. In many developing countries, small scale irrigation schemes were consider as a means to increase production, reduce the risk of unpredictable rainfall and provide food security and employment to poor farmers [34].

Small-scale irrigation is a policy priority in Ethiopia for rural poverty alleviation, food security and growth. It enables households to generate more income, increase their resilience, and in some cases transform their livelihoods [35]. Small-scale irrigation in Ethiopia had a significant role in diversification of production to new types of marketable crops like fruits, cash crops and vegetables [36].

Conclusion

This review has identified key factors that influence use of irrigation and its impact on food security in the study area. This insight is also useful to rethink about the barriers of use of irrigation. Therefore, the review can be used by policy makers to promote technological change that is directly needed for the economic development of the country. The result of this review indicates that small-scale irrigation development has a positive impact on food security status of rural households. The review indicates that a much higher proportion of those who are poor are non-irrigating rather than

irrigating households. Thus, the food insecurity occurrence in non-irrigating households is greater than in irrigating households. This suggests that small-scale irrigation has an important influence on household food security. This analysis shows that use of small-scale irrigation reduces the probability of a household being poor, controlling other factors. There were several challenges of irrigation use such as Lack of capacity to build irrigation cannel's by own resources, Luck of irrigable farm land, insufficient flow of water, Lack of extension support by government office, Lack of access to other inputs and Entry barriers by set by irrigation water users' association.

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