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# Cost-competitive Steel Devices for Seismic Retrofitting of RC Frames: Model Identification and Nonlinear Analysis

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#### Abstract

Seismic retrofitting of existing reinforced concrete (RC) buildings, designed in the last decades in seismic areas, is one of the most complex tasks for structural engineers: in fact, it includes several issues, such as quantifying the capacity of existing members, designing the supplemental ones and analysing the whole structure.

This paper is intended as a contribution to clarifying some of those issues. First of all, a model based on using 1D finite elements with fiber section discretization is proposed for simulating the behaviour of a cost-competitive steel device that can be employed as a link in Y-shaped eccentric bracings (EB): particularly, the cyclic response and the low-cycle fatigue degradation is modelled, based on the results of obtained in a previous experimental research carried out at the University of Salerno. Secondly, the global response of an existing RC frame equipped with the aforementioned devices is investigated via Non Linear Time History (NLTH) analyses. Taking into account the low-cycle fatigue often leads to significantly more severe seismic displacement demand value on the retrofitted structure: a close correlation is unveiled between some specific features of the seismic signals adopted in the NLTH and the actual influence of low-cycle fatigue.

**Keywords:** Steel braces; Low-cycle fatigue; Seismic retrofitting; Steel slit shape devices; Nonlinear analysis

#### Introduction

Reinforced Concrete (RC) structures and buildings designed and realised in the past decades in earthquake-prone zones are often characterised by significant levels of vulnerability, as highlighted by the damage and collapses observed in recent seismic events [1]. Therefore, existing RC buildings are generally in need for retrofitting in order to enhance their level of seismic safety according to the design codes currently in force [2].

In principle, several retrofitting strategies can be pursued. Some of them are based on adding further structural systems, such as bracings, which are often made of steel. Moreover, these structural systems include components that are capable of dissipating the input seismic energy [3]. Although several physical phenomena (such as friction of sliding surfaces, viscosity of fluids, yielding of metals, and so on) are considered for designing and realising these dissipative components, devices based on the hysteretic behaviour induced by the cyclic response of steel elements deformed beyond their yielding limit are the most frequently employed ones [4]. Consequently, the various shapes currently available on the market are related to the different physical phenomena resulting in hysteretic dissipation. In fact, these elements can yield under axial forces (i.e., Buckling Restrained Bracings) [5], bending moments (i.e., ADAS, TADAS, "long" links, etc.) [6-10], shear (i.e., "short" links, shear panels) and torsion [11,12].

Moreover, several cost-competitive seismic devices can be specifically designed and obtained from commercial steel profiles through conventional steel work procedure [8,9]. One of these devices, often referred to as "Steel Slit Shape" (SSS) devices, can be obtained by carving a simple I-wide flange section profile stub in order to turn the web panel into a batch of steel stripes characterised by a low yielding limit and, hence, prone to exhibit a hysteretic response under cyclic actions with reduced exposures to local buckling phenomena, but a pronounced progressive degradation of the stiffness and strength [13]. Therefore, these devices can be employed as dissipative devices in Y-shape eccentric braces (EB) and ideally employed for seismic retrofitting of RC frames [14].

This paper is intended at investigating the possibility of employing SSS devices in steel bracings for retrofitting ordinary structures, where budget restrictions are often the key constraints. Some steel slit devices were obtained by carving a steel plate (i.e. the web of a I-wide flange section stub) in order to find a batch of steel stripes characterised by a low yielding limit and, then, prone to exhibit an hysteretic response under cyclic actions. They were tested at the Laboratory of Materials testing and Structures (LMS) of the University of Salerno [15]. Although these solutions have been already investigated by the scientific community to understand the key aspects of their mechanical behaviour and, then, their suitability as a possible solution in seismic design of steel bracing systems, the present study is intended to provide engineers with a deeper understanding of their performance under cyclic actions. The experimental results demonstrated that the strength degradation achieved under moderate cyclic actions expected in medium-to-high seismic risk zones slightly affects the failure modes of these devices. Then, the obtained results were used in deriving the low-cycle fatigue curve [15], which represents a general conceptual tool capable to describe the displacement capacity and quantify its progressive reduction due to the damage accumulation induced by cyclic actions beyond the actual yielding limit of the devices under consideration.

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In this paper the aforementioned "Steel Slit" devices are modelled in OpenSEES [16] by using only 1D Finite Elements including the low-cycle fatigue effects. First of all, the parameters employed for simulating the hysteretic response and the low-cycle fatigue effects are carefully identified for reproducing the behaviour observed on the tested devices [17]. Then, Incremental Dynamic Analyses (IDA) of a RC frame strengthened by Y-shape eccentric bracings whose short link is realised by the steel slit devices under consideration are performed for scaling the member-level behaviour observed in these experiments up to the global seismic response of the aforementioned RC frame. These analyses are mainly aimed at investigating the consequences of low-cycle fatigue affecting the dissipative devices on the global seismic response of the structure under consideration. The results obtained are finally related to the characteristics of the considered seismic signals, such as Peak Ground Acceleration (PGA) [18], Peak Ground Velocity (PGV) and Damage Index  $(I_p)$  [19]. As a matter of fact, a close correlation is unveiled between some specific features of the seismic signals and the actual influence of the aforementioned phenomenon on the response of the strengthened RC frame under consideration.

#### Overview of the experimental tests and results

The Steel Slit Shape (SSS) considered in this paper were tested as part of a wide experimental campaign including other devices, such as short link and torsional members, which are not considered herein [15]. Particularly, the results obtained in the tests carried out on six SSS hysteretic devices (three of them made of S355 steel and other three ones made of S275 steel type [20]) with equal geometric dimensions are taken into account in the present study. They were designed according to the analytical relationship provided by Chan [21]in order to have the same values of yielding displacement  $\delta_E$  and elastic stiffness  $k_E$ . The geometric dimensions of the device (and the slits) realised by conventional steelwork procedures are shown in Figure 1. The slotted holes had 20 mm diameter for a length of 205 mm, in order to have 4 strips with the following dimensions: width = 55 mm; thickness = 10 mm; depth of the part with constant cross-section = 185 mm (Figure 1).

All tests were performed in displacement control. Both constant and incremental amplitude cyclic tests were performed [22]. In particular, the amplitudes of constant amplitude cyclic tests were defined as a function of the displacement  $\delta_U$  corresponding to the minimum nominal rotational capacity defined for short links by the Italian Code [20] (namely, 0.08 rad). Particularly, two tests were carried out by assuming a constant amplitude just equal to  $\delta_{\upsilon}$ , while other two were conducted with an amplitude of about 50% of  $\delta_{\upsilon}$ . Table 1 summarises the key information about the loading protocol and results of the six specimens.

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The cyclic response exhibited by the SSS devices was initially characterised by wide hysteretic cycles, albeit affected by a significantly fast progressive decay in strength after few cycles (Table 1).

Figure 2 shows the cyclic response observed in the tests referred to specimens made of S355 steel. It shows the sudden strength decay observed in the test on the specimen SSS01-S355 after the third cycle (Figure 2a), as already reported in Table 2. Moreover, it shows the more gradual reduction in strength observed for the specimen SSS02-S355 (Figure 2b), subjected to a smaller displacement. The specimen SSS03-S355 (Figure 2c), tested under the incremental loading protocol, shows the regular shapes of the first cycles, characterised by the lower amplitudes, and the progressive strength decay resulting in a rather quick failure of the specimen.

Such a failure condition was actually achieved for a displacement amplitude of about 20 mm and, then, significantly lower the maximum one ( $\delta_{\upsilon}$ =28 mm). This clearly emphasises a low-cycle fatigue phenomenon affecting the response of the tested specimens depending on the actual cyclic load history.

Similar considerations can be derived by observing the curves reported in Figure 3, ideally corresponding to Figure 2, but related to the SSS specimens made with S275 steel. The lower yielding stress (and strain) of SSS04 specimen (Figure 3a), with respect of SSS01, led to an even more abrupt shear force decay and a failure condition achieved

Steel type	Sample	Test amplitude (mm)	Maximum strength		Failure (≅20% decay in strength)	
			Cycle	F <sub>max</sub> [kN]	Cycle	F [kŇ]
S355	SSS01	28.0	1	206.3	3	167.9
	SSS02	16.0	4	185.5	11	169.6
	SSS03	incremental	14	207.0	15	196.2
	SSS04	28.0	1	174.2	2	154.0
S275	SSS05	16.0	3	159.5	6	127.8
	SSS06	incremental	13	163.4	14	147.8

Table 1: Summary of the cyclic tests.





SSS Material	Opensees material						
S355	Steel02	\$Fy = 355·1,30	\$E = 210000*0,3	\$b=0,01	\$R0 = 15	\$cR1=0,925	
		\$cR2 = 0,15	\$a1 = 0,10	\$a2=1,50	\$a3=0,10	\$a4 = 1,50	
	Fatigue	\$E0 = 0,37279	\$m = -0,415				
S275	Steel02	\$Fy = 275·1,30	\$E = 210000*0,3	\$b=0,01	\$R0 = 15	\$cR1=0,925	
		\$cR2 = 0,15	\$a1 = 0,10	\$a2=1,50	\$a3=0,10	\$a4 = 1,50	
	Fatigue	\$E0 = 0,48125	\$m = -0,415				

Table 2: Numerical values adopted in Steel02 and Fatigue materials.



at the end of the second cycle. Moreover, Figures 3b and 3c shows the more progressive damage propagation occurring in the specimen SSS06, tested under an incremental cyclic load protocol.

As a matter of fact, the strength decay observed in all specimens

#### Calibration of the low-cycle fatigue curve

The experimental tests outlined in Section 2 on the hysteretic devices demonstrated that they comply with the basic ductility requirements fixed by the European [1] and the Italian [20] provisions for steel components to be possibly employed as short links in eccentric steel bracing systems. Particularly, the constant amplitude cyclic test demonstrated that SSS devices under consideration were capable to comply reach the displacement  $\delta_U$  corresponding to the minimum rotation capacity.

Therefore, a more comprehensive definition of the actual displacement/rotation capacity should be adopted to describe the cyclic performance of dissipative steel devices.

As observed in experimental tests, fatigue damage increases with applied cycles in a cumulative manner which may lead to fracture. This phenomenon can be simulated through to the "linear rule" suggested by Palmgren [23] and expressed in a mathematical form by Miner [24].

Then, the experimental results were employed to calibrate the low-cycle fatigue curve in the framework of the Miner's rule [24] relating the actual displacement capacity (in terms of maximum plastic displacement  $\Delta_p$  under an ideally constant amplitude cyclic protocol) and the corresponding number of cycles N after which the device is expected to fail [15]:

$$\Delta_{\rm p} = \mathbf{a} \cdot (2N)^{\rm b},\tag{1}$$

in which 2N is number of cycle reversals, while a and b are two coefficients that should be calibrated on experimental results.

Both constant and incremental amplitude protocols have been considered in the experimental tests proposed in this study. On the one hand, the former have been directly utilised to obtain one point for each test in the ideal  $2N-\Delta_p$  plane. On the other hand, the latter have also been utilised to calibrate the two coefficients of equation (1) by solving it with respect to N introducing the resulting expression for each displacement amplitude  $\Delta_{p,i}$  within the following equation deriving directly by the Miner's rule [24]:

$$\sum_{i=1}^{k} \frac{n_{i}}{N(\Delta_{p,i}; a, b)} = 1 , \qquad (2)$$

where k is the number of equal-amplitude cycle groups imposed in the

experimental tests,  $n_i$  is the number of cycles for each group,  $\Delta_{p,i}$  their amplitude and *a* and *b* the two (unknown) coefficients introduced in equation. (1).



Figure 4: SSS device before (a) and at the end (b) of the cyclic test.

Thus, the results of the six experimental tests reported in Section 2 have been employed to determine the two values (a, b) which characterise the low-cycle fatigue curve for the devices considered in this study. Since both S355 and S275 devices exhibited similar performances, a unique couple of coefficients *a* and *b* was assumed for the fatigue curve (equation. (1)). Such a curve is plotted in Figure 5 along with the numerical values of the coefficients *a* and *b*. In particular *a*= 56.264 and *b*=-0.415 were calibrated on the experimental results.

It is worth highlighting that a unique curve describes the cyclic decay of the mechanical properties of all SSS devices analysed in this study.

#### FE modelling of the STEEl Slit devices

An accurate numerical model was implemented and calibrated in OpenSEES [16]. The four vertical stripes of the Steel Slit device were modelled with distributed plasticity elements by means of 100 fibers, while the flanges at the bottom and top were simulated as infinitely elastic elements connecting the ends of the stripes (Figure 6).

According to the overstrength observed in tensile tests on the materials, yielding stresses equal to 460 MPa and 357 MPa were used for steel S355 and S275, respectively. The nonlinear mechanical behaviour of steel was considered by adopting the "Steel02" uniaxial material law.

As suggested by Chan and Albermani [25], both longitudinal and tangential elastic moduli were multiplied by a 0.3 factor in order to reduce elastic moduli and simulating indirectly the significant flexibility affecting the node connecting the stripes and the elastic flange. The effects of the low-cycle fatigue were included in the model through the "Fatigue" material model taking into account a modified



rainflow counter and a linear strain accumulation model, based on Coffin-Manson log-log plot describing low cycle fatigue failure [26]. The deformation amplitude at each cycle is monitored and a cumulative damage of the steel fiber under consideration is defined. The fatigue law works in series with the "Steel02" material without affecting its stressstrain relationship until the damage function achieve the unit value. Conversely, once the "Fatigue" material model reaches a damage level of 1.0, the stress of the "Steel02" material becomes zero as a result of the low-cycle fatigue failure of the steel fiber.

Two values were calibrated for modelling the "fatigue" material of OpenSEES: the ultimate strain under monotonic action and the slope of the curve in log-log space (namely,  $E_0$  and m, respectively, as defined within the OpenSEES command language manual [16]). On the one hand, the latter was directly derived from the experimental low-cycle fatigue curve and, hence, m = b = -0.415; on the other hand, the parameter  $E_0$  was derived as follow from the value a = 56.264 of the same curve:

$$E_0 = \frac{a}{Q_{pl,SSS}}$$
(3)

where

$$Q_{pl,SSS} = \frac{2 \cdot M_{pl,SSS} \cdot n_s}{H} = n_s \cdot \frac{t_w \cdot B^2}{2 \cdot H} \cdot \gamma_{os} \cdot f_y$$
(4)

in which  $Q_{pl,SSS}$  is the horizontal force associated to the plastic moment  $M_{pl,SSS}$ ,  $\gamma_{os}$  is the overstrength factor of steel assumed equal to 1,30 according to experimental tensile tests and  $n_s$  indicates the number of stripes in the device.

Table 2 reports the numerical values adopted for the Steel02 and Fatigue material for simulating the Steel Slit devices made of S355 and S275 steel type, respectively. For the meaning of symbols refers to OpenSEES Command Language Manual [16].

Reversed cyclic pushover analyses were performed in displacement control on the FE models of the devices with the aim of reproducing the loading history observed in the experimental tests. The results shown in Figures 7 and 8, which compare the cyclic force-displacement response (in grey) and the experimental ones (in black), demonstrate that the model is capable of reproducing strength, stiffness and cyclic damage related to the low-cycle fatigue phenomena observed in the experimental campaign.

# Seismic response of an existing RC frame equipped with steel slit devices

An existing four-storey frame structure, obtained through a simulated design procedure carried out for only gravitational loads







(5z)

500

500

(4z)

according to the codes and practices in force in Italy in 1960s and 1970s [27,28], is considered herein as a case-study with the aim of investigating the influence of the accelerated low-cycle fatigue degradation exhibited by the SSS devices under consideration. Figure 9 shows its plan view

(1z)

500

(2z)

500

(3z)

and main member sections.

(2 x

3x

<u>4</u>x

000

(6z)

A cylindrical compressive strength  $f_{cm}$ =16 MPa was considered for concrete, while steel type FeB22k (medium tensile strength  $f_{sm}$ =220

Centre Column

Beam

30x50

sup

sup

inf

in the middle

inf at the ends

40x40

12**Φ**12

Ф8 / 25

section

section 6Φ12

3Φ12

3Φ12

6Ф12

long. Reinf stirrups

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MPa) was considered for rebars. HEA200 steel profiles made of S275 were considered to simulate the bracings supporting the dissipative devices. The frame was equipped with SSS devices for enhancing its seismic response: Figure 10 shows the front view of the RC structure with steel bracings and dissipative devices.

The effects of the low-cycle fatigue of the dissipative devices on the overall global response was investigated by performing Incremental Dynamic Analyses (IDA) on three different models of the RC structure under consideration:

• The first model represented the existing structure in its asbuilt configuration, without any steel bracing and device;

• In the second one steel bracings and dissipative devices were included, but the low-cycle fatigue phenomenon affecting SSS devices was not modelled;

• The third one considered the RC structures with both Y-shape steel bracings and SSS dissipative devices in which the effects of low-cycle fatigue are taken into account according the model outlined in the previous section.

Beams, columns and braces were modelled through distributed plasticity elements taking into account both geometric and mechanical non linearity. Ordinary stress-strain laws, namely Concrete01 and Steel01 [16], were adopted for materials.

Seven accelerograms were selected in the Pacific Earthquake Engineering Research Center (PEER) database and scaled in order to obtain a spectral acceleration  $S_a(T_1)$  corresponding to the first vibrational mode of the analysed structure ranging between 0 and 5,00 m/s<sup>2</sup>. Table 3 reports their main characteristics: PGA and PGV denote the Peak Ground Acceleration and the Peak Ground Velocity, respectively. Moreover, the damage index  $I_D$  was evaluated according to the following equation [19]:

$$I_{\rm D} = \frac{\int_0^{T_{\rm e}} \left[a\left(t\right)\right]^2 dt}{PGA \cdot PGV} = \frac{I_{\rm A}}{PGA \cdot PGV} , \qquad (5)$$

in which  $I_A$  is the so called Arias Index.

As it well known, the  $I_D$  parameter is strictly related to the energy content of earthquakes. As it can be read in Table 3, the selected accelerograms are characterised by different  $I_D$  values with the aim of investigating the influence of low-cycle fatigue on the structural response to seismic actions characterised by significantly different damage potential.

# **Results of IDA analysis**

The results of IDA performed on the three different models are reported in terms of acceleration vs. top displacement  $(S_a - \Delta_{top})$ : particularly, Figure 11 reports the average (Figure 11a) and the maximum (Figure 11b) displacement demands obtained for the three series of incremental analyses proposed in this study. As expected, the use of steel bracings and dissipative SSS devices significantly reduces the top displacement demand on the structure: in fact, the demand determined for the existing structure is at least halved by the contribution of steel bracings.

However, a significant difference emerges between the results of analyses obtained by either considering or neglecting the effect of low-cycled fatigue in the dissipative devices. This difference is especially clear in terms of average value of  $\Delta_{top}$  (Figure 11a) for medium-to-high seismic signals (i.s.  $S_a>2.5 \text{ m/s}^2$ ).

Moreover, the significant difference emerging between the average values (Figure 11a) and the corresponding maximum ones (Figure 11b) suggests that the seismic response is affected by a significant record-by-record variability.

Therefore, Figures 12-14 show the response obtained in the three series of analyses for each one of the seismic signals (dashed one) and the same average response already plotted in Figure 11a. As it is expected, this dispersion is higher for analyses implying higher levels of nonlinear response. Therefore, it is significant for the existing structure (Figure 12), whereas it appears to be strongly reduced for the retrofitted structures, if the low-cycled fatigue effect is neglected (Figure 13). Conversely, the record-to-record variability is once again pronounced if the low-cycle fatigue effect of SSS devices is duly taken into account.

A further investigation into the seismic response of the three analysed structures under each one of the seismic signals under consideration reveals that the role of low-cycle fatigue is particularly

Earthquake	Station	PGA [g]	PGV [m/s]	I <sub>D</sub>
Mammoth Lakes	Long Valley Dam	0.2388	0.1512	0.43
Kocaeli	Duzce	0.2825	0.5206	1.52
Trinidad	Rio Dell Overpass	0.4153	0.3915	0.52
Loma Pietra	Fremont	0.1273	0.1105	1.08
Chalfant Valley-02	Zack Brother Ranch	0.3844	0.3891	0.79
San Fernando	LA Hollywood Stor	0.1797	0.1760	0.80
Chi-Chi	TCU055	0.2461	0.3079	1.28

Table 3: Characteristics of the accelerograms used in dynamic analysis.









Figure 13: IDA curves: single signal and average (retrofitted structure – no low-cycle fatigue).

pronounced in certain cases. In particular, Figure 15 depicts the IDA curves of the two accelerograms characterised by the lower and the higher  $I_D$  values [19], respectively: they also results, respectively, in the minimum and maximum scatter between the two IDA curves representing the seismic response of the braced structure determined by either considering or neglecting the low cycle fatigue phenomenon on the SSS devices.

Similarly, Figure 16 shows the horizontal force-displacement response of a device located at the first storey of the structure under consideration. Particularly, it depicts the behaviour obtained by performing the nonlinear dynamic analyses for the same couple of accelerograms characterised by the lower and the higher  $I_p$  value. The



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results confirm that the accelerogram with higher  $\rm I_{_D}$  value leads to a faster degradation of the SSS device response.

Therefore, the results reported in Figures 15 and 16 highlight that the influence of the low-cycle fatigue may be correlated with the value of I<sub>D</sub>. This conjecture can be assessed by analysing the ratio between the top displacement of the structure determined by considering the effect of low-cycle fatigue and the corresponding one determined by neglecting that phenomenon: it is clear that if this ratio is close to one, the low-cycle fatigue effect is negligible, whereas high values of the same ratio testifies that displacement demand is influenced by the aforementioned phenomenon. The  $\Delta_{\rm top,withLCF}/\Delta_{\rm top,withoutLCF}$  is reported in Figure 17 for each accelerogram with respect to the corresponding  $\rm I_D$  value: a close correlation emerges between the two quantities and, hence, the above conjecture about the role of  $\rm I_D$  in controlling the influence of low-cycle fatigue on the global response of the retrofitted structure is confirmed.

Conversely, no correlation can be recognised between the displacement demand ratio defined above and other relevant intensity measures of the seismic signals considered in the performed IDA (Figure 18).

# Conclusion

The present paper is a contribution to understanding the consequences of the low-cycle fatigue degradation affecting some cost-competitive devices, such as the so-called Steel Slit Shape ones considered in this study. Particularly, the following observation deserves to be remarked:

A numerical model based on using 1D frame-like finite

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element and fiber section discretisation, generally available in designoriented codes for structural analysis was proposed for simulating the cyclic behaviour of a cost-competitive solution for realising dissipative devices, particularly suited for being used in retrofitting RC frames;

• Low-cycle fatigue degradation observed in experimental results was simply taken into account in the aforementioned model which was included in the global FE model of the retrofitted RC structure considered;

• The performed IDA demonstrates that the action of steel bracings and dissipative SSS devices significantly reduces the top displacement demand on the structure, but the low-cycle fatigue affecting the SSS devices generally influences the response of the retrofitted structure.

• In particular, low-cycle fatigue of SSS devices plays a role as high as the  $I_D$  index of the seismic signal under consideration: among the other things, this observation suggests a possible criterion for selecting natural seismic signals to be employed in the structural analyses needed in practical retrofitting intervention.

Therefore, the results presented in this study have relevance for several issues dealing with the seismic retrofitting of existing RC frames: particularly, on the one hand, it demonstrates the potential of a cost-competitive dissipative device in retrofitting existing RC frames and, on the other hand, it shed a new light on the consequences of their more pronounced low-cycle fatigue degradation on the global seismic response of the retrofitted structure.

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