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# A Novel NMF Guided Level-set for DWI Prostate Segmentation

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## Abstract

**Objective:** To develop an automated 3D framework for prostate segmentation from diffusion-weighted imaging (DWI).

**Methods:** The proposed framework integrates level-set deformable model and nonnegative matrix factorization (NMF) techniques. In the proposed framework, the level-set is guided by a novel speed function that is derived using NMF, which extracts meaningful features from a large dimensional feature space. The NMF attributes are calculated using information from the DWI intensity, a probabilistic shape model, and the spatial interactions between prostate voxels. The shape model is constructed using a set of training prostate volumes and is then updated during the segmentation process using an appearance-based method that takes into account both a voxel's location and its intensity value. The spatial interactions are modeled using a second-order pairwise 3D Markov-Gibbs random field (MGRF).

**Results:** We tested our framework on 125 in vivo DWI-MRI prostate data sets that has been collected from 10 subjects at b-values ranging from 0 to 1000 s/mm<sup>2</sup>. Our results show that using NMF-based feature fusion to guide the level-set increases the segmentation accuracy compared with previously proposed methods using two evaluation metrics, the dice similarity coefficient (DSC) and Hausdorff distance (HD). The proposed method achieved an average DSC of 0.868  $\pm$  0.03 and an average HD of 5.61  $\pm$  2.12 mm compared to an average DSC of 0.83 $\pm$ 0.07 and an average HD of 6.67  $\pm$  1.84 mm for a maximum a posteriori (MAP)-based level-set method, and an average DSC of 0.810  $\pm$  0.05 and an average HD of 9.07  $\pm$  1.64 for a level-set driven only by intensity and shape information.

**Conclusions:** Experimental results show that the integration of 3D intensity, shape, and spatial features with NMF-based feature fusion increases the ability of the proposed method to perform robust prostate segmentation despite image noise, inter-patient anatomical differences, and the similar intensities of the prostate and surrounding tissues.

Keywords: DWI; level-set; Prostate; Segmentation; NMF; MGRF

# Introduction

Prostate localization is an essential step for developing a medical image-based framework for prostate analysis (e.g., a computer aided diagnostic (CAD) system for diagnosing prostate cancer). As such, several techniques have been proposed for segmenting the prostate from a variety of magnetic resonance imaging (MRI) modalities. However, accurate prostate segmentation for MRI data can be challenging due to image noise, inter-patient anatomical differences, and the similar intensities of the prostate and surrounding tissues (e.g., the bladder). To overcome these challenges, different segmentation techniques have been proposed. Deformable models (DMs) have been particularly popular, especially active shape models (ASMs) [1] and level-sets [2]. For example, Allen et al. [3] proposed a DM framework for three dimensional (3D) prostate segmentation from T2-MRI. The DM evolution was controlled by voxel intensity and a statistical shape model. A hybrid 2D/3D ASM-based methodology for 3D MRI prostate segmentation was proposed by Zhu et al. [4]. Additionally, Ghose et al. [5] used a similar approach that aligned T2-MRI data then used an active appearance model (AAM), an extension of ASM, guided by appearance and shape information to segment the prostate. Also, Gao et al. [6] aligned MR images before segmenting the prostate using a level-set guided by appearance information and a learned shape prior. In addition, Martin et al. [7] used a probabilistic anatomical atlas to constrain a DM-based framework for segmenting the prostate from 3D T2-MR images.

Statistical-based techniques have also been utilized for segmenting prostates from MRI data. For example, Ghose et al. [8] proposed a probabilistic graph-cut-based framework for 3D T2-MRI prostate segmentation based on a probabilistic atlas. Firjany et al. [9] proposed a Markov random field (MRF) image model [10] for 2D dynamic contrast enhanced (DCE)-MRI prostate segmentation that combined a graph-cut approach with a prior shape model of the prostate and the visual appearance of the prostate image, modeled using a linear combination of discrete Gaussians (LCDG) [11]. Their method was later extended in [12,13] to allow for 3D prostate segmentation from DCE-MRI data. A maximum a posteriori (MAP) [14]-based framework that performed

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automated 3D MRI prostate segmentation using an MRF model and statistical shape information was proposed by Makni et al. [15]. Also, Klein et al. [16] presented an atlas-based segmentation approach to extract the prostate from MR images based on averaging the best atlases that match the image to be segmented. Another technique, proposed by Dowling et al. [17] used an automated atlas approach to segment the prostate region based on a selective and iterative method for performance level estimation (SIMPLE) [18] based alignment technique.

In addition to DMs and statistical-based techniques, several other methods have been proposed to segment the prostate from MR images. Flores-Tapia et al. [19] proposed a semi-automated edge detection technique for MRI prostate segmentation based on a static wavelet transform [20] to locate the prostate edges. Also, a semi-automated approach by Vikal et al. [21] used a prostate shape prior to detect the contour in each slice and then refined them to form a 3D prostate surface. Additionally, Random walk classification [22] was used for MRI prostate segmentation by Khurd et al. [23].

In addition to T2-MRI and DCE MRI, diffusion weighted imaging (DWI) MRI has emerged as a promising image modality for prostate analysis in recent years due to its ability to measure both structural and functional qualities. Additionally, acquiring DWI does not require injecting a patient with a contrast agent, unlike DCE-MRI. Specifically for prostate cancer diagnosis, the apparent diffusion coefficient (ADC), a feature calculated using DWI images acquired at different magnetic field strengths (or b-values), has been found capable of discriminating between malignant and benign prostate tissues [24-30]. As a result of the discriminative nature of ADC values, DWI prostate localization is a required step in many prostate cancer CAD systems. A limited number of DWI prostate segmentation techniques have been proposed to overcome the challenges listed above. For example, Firjani et al. proposed the use of a MAP [13] method using intensity, shape and spatial features for 3D DWI prostate segmentation [24,31,32]. The intensity was modeled using the LCDG model and a 3D Markov-Gibbs random field (MGRF) was used as the spatial model. Also, Liu et al. [33] proposed a 2D level-set guided by intensity and shape information for DWI prostate segmentation. The intensity of a pixel was found by taking the average of the pixel's intensity at a b-value of 0 s/mm<sup>2</sup> and the ADC value found using b-values of 0 and 100 s/mm<sup>2</sup>. The shape model of the prostate was determined by fitting an ellipse to an initial segmentation generated using Otsu intensity thresholding [34]. Similarly, Liu et al. developed a 3D level-set method guided by intensity and shape information [35]. The intensity of a voxel was the ADC value found using b-values of 0 and 600 s/mm<sup>2</sup>. An initial segmentation was performed using a 3D level-set guided by this intensity information. The shape of the prostate was then approximated by fitting an ellipsoid and a series of ellipses to the initial segmentation. This intensity and shape information was then used to guide another 3D level-set for final segmentation. While these methods have successfully performed DWI prostate segmentation, they can be sensitive to similarities between the intensities of object and background voxels.

To overcome the above challenges, a novel deformable model-based framework for prostate segmentation from DWI data is proposed. Our framework utilizes a novel nonnegative matrix factorization (NMF) feature fusion method to create a more robust model for guiding the evolution of a 3D level-set deformable model for DWI prostate segmentation. The proposed NMF-based feature fusion approach incorporates three features, namely DWI intensity, prostate shape, and spatial interactions between prostate voxels. The features generated by this technique are then used to guide the evolution of a 3D level-set deformable model to extract the prostate from DWI data (Figure 1).

# Methods

In this paper, a novel level-set-based framework for DWI prostate segmentation Figure 1 is proposed. Geometric deformable models based on level-sets are commonly used techniques for object segmentation from medical imaging. Level-sets have been successfully applied to segment several organs in the human body (e.g., kidney [36,37] heart [38,39] and prostate [6,33,35]. In the definition of the level-set, the evolving surface at any time instant *t* is represented by the zero level,  $\phi_n(x,y,z)=0$ , of an implicit function, namely a distance map of the signed minimum Euclidean distance from each voxel to the surface.



This formulation results in points inside the surface having negative (or positive) values and voxels outside the surface having positive (or negative) values, respectively. Mathematically, the evolution of the level-set is defined by [2]

$$\phi_{n+1}(x, y, z) = \phi_n(x, y, z) - \tau V_n(x, y, z) |\nabla \phi_n(x, y, z)|$$
(1)

where *t* is the discrete time instant  $t=n\tau$  taken with a step  $\tau$ ,  $\tau>0$  and  $\nabla = \left[\frac{\partial}{\partial x}, \frac{\partial}{\partial y}, \frac{\partial}{\partial z}\right]$  is the differential operator. This evolution is guided

by the speed function  $V_{y}(x, y, z)$  [40].

Previous speed functions that use image intensities, object edges, and gradient vector flow have had difficulty segmenting noisy images and those with poor object-background contrast. More effective speed functions have been developed by using shape models to incorporate prior information of the object of interest. However, this has not completely overcome image in homogeneities (e.g., large image noise and discontinuous object boundaries). Recent techniques utilize stochastic speeds that incorporate object-background shape and appearance models [36,41-45]. In order to more accurately segment the prostate from DWI data, we propose a novel speed function that depends on regional statistics derived from the prostate and background regions. Namely, it takes into account regional appearance, shape, and spatial features of the DWI data. These features are combined using an NMF-based fusion method to provide the voxel-wise guidance of the deformable model. Details of these features and their NMF fusion are given in the following sections. First, a summary of the basic notations used throughout this paper is provided.

## **Basic notation**

Let  $\mathbf{Q}$ ={0, ..., Q-1} and  $\mathbf{L}$ ={0, 1} be the set of Q integer gray levels and a set of object (1) and background (0) labels, respectively. Also, let a 3D arithmetic lattice  $\mathbf{R}$ ={(x, y, z) : 0  $\leq x \leq X$ -1; 0  $\leq y \leq Y$ -1; 0  $\leq z \leq$ Z-1} support the grayscale DWI data  $\mathbf{g}: \mathbf{R} \rightarrow \mathbf{Q}$  and their binary region maps  $\mathbf{m} : \mathbf{R} \rightarrow \mathbf{L}$ . Each voxel (x, y, z) is associated with its neighbors,



**Figure 2:** Illustration of a voxels 26 neighborhood system for the 3D intensity and the 3DMarkov-Gibbs random filed (MGRF) spatial model. Here, d represents the Euclidean distance between the central voxel  $m_{x,y,z}$  and its neighbors.

 $\{(x+\xi, y+\eta, z+\zeta): (x+\xi, y+\eta, z+\zeta) \in \mathbf{R}; (\xi, \eta, \zeta) \in \mathbf{N}\}$ where **N** is the 26 neighborhood system Figure 2 defined by  $\xi \in \{-1,0,1\}, \eta \in \{-1,0,1\} \text{ and } \zeta \in \{-1,0,1\}.$ 

## 3D Appearance, shape, and spatial features

**Appearance-based shape model:** Most prostates have a similar near-ellipsoidal shape [46]. As a result, the inclusion of a shape prior can significantly improve the segmentation accuracy. In the pro-posed framework, an appearance-based shape model is built that takes into account both a voxel's location and its intensity information. A shape database was constructed by co-aligning training data sets using a 3D affine transformation with 12 degrees of freedom (3 for 3D translation, 3 for 3D rotation, 3 for 3D scaling, and 3 for 3D shearing) and maximizing mutual information (MI) [47]. A shape prior is a spatially variant independent random field of region labels for the co-aligned data. Mathematically, this is defined as:

$$\boldsymbol{P}_{sh}\left(\boldsymbol{m}\right) = \prod_{(x,y,z)\in\boldsymbol{R}} \boldsymbol{P}_{sh:x,y,z}\left(\boldsymbol{m}_{x,y,z}\right)$$
(2)

where  $P_{\text{sh:xy;z}}(l)$  is the voxel-wise empirical probability for label  $l \in L$ . For each input DWI volume to be segmented, the shape prior is constructed by a process guided by the visual appearance features of the DWI data. The appearance-based shape prior is then estimated using the method summarized in Algorithm 1.

Algorithm 1: Algorithm for Calculating an Appearance-based Shape Model

Calculate the value of the shape prior probability at each voxel using the following steps:

- 1. Transform each test subject voxel to the shape database domain using the calculated 3D affine transformation matrix (T).
- 2. Initialize an  $N_{i} \times N_{j} \times N_{3i}$  search space centered at the voxel.
- 3. Find voxels inside the search space with corresponding gray levels to the center voxel in all training data sets.
- If no corresponding voxels are found, increase the search space size and repeat the previous step.
- 5. Calculate the label probabilities for each voxel based on the relative occurrence of each label in the search results.

**Spatial voxel interaction model:** In addition to the prostate shape prior, analyzing the interactions of a voxel and its neighbors can improve segmentation [24,44]. In order to model these interactions, a second-order 3D MGRF model [48] is used. The MGRF model of the region map **m** is defined as:

$$\boldsymbol{P}_{sp}(\boldsymbol{m}) = \frac{1}{\boldsymbol{Z}_N} \exp \sum_{(x,y,z) \in \boldsymbol{R}(\boldsymbol{\xi},\boldsymbol{\eta},\boldsymbol{\zeta}) \in N} \sum_{\boldsymbol{\ell} \in \boldsymbol{Q}} V_{eq}(\boldsymbol{m}_{x,y,z}, \boldsymbol{m}_{x+\boldsymbol{\xi},y+\boldsymbol{\eta},z+\boldsymbol{\zeta}}) \quad (3)$$

where  $V_{eq}(m_{x;y;z}, m_{x+\xi;y+\eta;z+\zeta})$  is the Gibbs potential and  $Z_N$  is the normalization factor which can be approximated as [49]:

$$\boldsymbol{Z}_{N} \approx \exp \sum_{(x,y,z) \in \boldsymbol{R}(\boldsymbol{\xi},\boldsymbol{\eta},\boldsymbol{\zeta}) \in N} V_{eq}(\boldsymbol{m}_{x,y,z}, \boldsymbol{m}_{x+\boldsymbol{\xi},y+\boldsymbol{\eta},z+\boldsymbol{\zeta}}) \quad (4)$$

The MGRF used can be viewed as a 3D extension of the autobinomial, or Potts, model with the exception that the Gibbs potential is estimated analytically. The maximum likelihood estimate of the potential is given as [50]:

$$V_{eq} = 2\left(f_{eq}\left(\boldsymbol{m}\right) - \frac{1}{2}\right) \tag{5}$$

Where  $f_{eq}(\mathbf{m})$  is the relative frequency of equal labels in the voxel pairs ((*x*, *y*, *z*), (*x* +  $\xi$ , *y* +  $\eta$ , *z* +  $\zeta$ )).

## NMF-based feature fusion

NMF is a method for extracting meaningful features from data sets for representing different classes in the data [50]. This is done by calculating a weight matrix W that transforms a vector from the input space into a new feature space (H-space) through factorizing the input matrix A. NMF has been applied to various data analysis problems such as document clustering [51] and facial recognition [52]. In addition, it has been used in a few segmentation systems. Particularly, Xie et al. [53] used NMF to segment the spinal cord, corpus callosum, and hippocampus regions of rats from diffusion tensor imaging (DTI) by k-means clustering the column vectors of the produced H matrix. Also, Sandler et al. [53] proposed using NMF to factorize intensity histogram data for generic image segmentation.

In this paper, an NMF-based approach is proposed to find the weights for each feature in order to create a feature space where object and background classes are better separated, dimensionality is reduced, and information from the training data set is encoded. NMF factorizes a k by n input matrix A into a k by r weight matrix W, which contains the basis vectors of the new space as columns, and an r by n output matrix H where k is the dimensionality of the input column vectors, n is the number of input and output column vectors, and r is the dimensionality of the output column vectors [50]. Mathematically, this is defined as:

$$A \approx WH \tag{6}$$

where *W* and *H* are calculated by minimizing the Euclidean distance between *A* and *WH* with the constraint that *W* and *H* contain only nonnegative values. This results in the constrained optimization problem:

$$\min_{W,H} \frac{1}{2} A - WH$$
subject to  $W, H > 0$ 
(7)

In the literature, several methods have been used to optimize this function. The most prominent methods have been multiplicative gradient descent, alternating least square (ALS), and projected gradient descent (PGD) [55]. In this paper, the multiplicative method [55] is used because of its ease of implementation. This method iteratively updates W and H until convergence using the following rules:

$$\boldsymbol{H}_{\alpha\beta} \leftarrow \boldsymbol{H}_{\alpha\beta} \frac{\left(\boldsymbol{W}^{T}\boldsymbol{A}\right)_{\alpha\beta}}{\left(\boldsymbol{W}^{T}\boldsymbol{W}\boldsymbol{H}\right)_{\alpha\beta}} \tag{8}$$

$$W_{\gamma\alpha} \leftarrow W_{\gamma\alpha} \frac{\left(AH^{T}\right)_{\gamma\alpha}}{\left(WHH^{T}\right)_{\gamma\alpha}}$$
<sup>(9)</sup>

where  $\alpha : 1 \rightarrow r, \beta : 1 \rightarrow n$ , and  $\gamma : 1 \rightarrow k$ .

In the proposed framework, NMF is performed on a matrix that has a  $k^{th}$  dimensional, one dimension for each calculated feature, column vector for each voxel (x,y,z) in the training volumes. The input features are the intensity values of the voxel (x,y,z) and its neighbors, the spatial interactions between voxel (x,y,z) and its neighbors, and the value of the shape prior at (x,y,z). The *W* resulting from factorization is used as the basis vectors to transform new feature vectors into the

J Comput Sci Syst Biol ISSN: 0974-7230 JCSB, an open access journal new *r*-dimensional space (*H*-space). Also, the resulting *H* is used to find the *r*-dimensional centroids corresponding to the object and background classes using k-means clustering [57]. For each voxel in a testing volume, a  $k^{th}$  dimensional feature vector was calculated. This resulted in a *k* by *n* feature matrix *B* where *n* is the number of voxels in the volume. The new *r* dimensional vectors corresponding to the input voxels are calculated by multiplying *B* by the psuedo-inverse of *W*, which can be replaced by  $W^{T}$  assuming orthogonality of the columns of *W* [58]. Mathematically, this is described as:

$$\boldsymbol{H}_{\boldsymbol{B}} = \boldsymbol{W}^{T} \boldsymbol{B} \tag{10}$$

#### **Regional speed function**

In this paper, a novel speed function to control the evolution of the level-set deformable model is proposed. This speed function is derived using the NMF-based fusion of DWI features,  $H_{B_{XX},y,z}$  for voxel (x, y, z). The proposed speed function  $V_n(x, y, z)$  is defined as  $V_n(x, y, z)=\kappa \vartheta(x, y, z)$ , where  $\kappa$  is the curvature and  $\vartheta(x, y, z)$  is defined as:

$$\mathcal{G}(x, y, z) = \begin{cases} -E_{obj:x;y;z} & \text{if } E_{obj:x;y;z} > E_{bg:x;y;z} \\ E_{bg:x;y;z} & \text{otherwise} \end{cases}$$
(11)

Here,  $E_{abjxxy;z} = P_{nmfx;y;z}(1) + P_{shxyy;z}(1) + P_{spxxy;z}(1)$  where  $P_{shxxy;z}(1)$  is the object shape prior probability and  $P_{spxxy;z}(1)$  is the object MGRF model probability. Similarly,  $E_{bgxy;z} = P_{nmfx;y;z}(0) + P_{shxy;z}(0) + P_{spxy;z}(0)$ where  $P_{shxy;z}(0)$  is the background shape prior probability and  $P_{spxy;z}(0)$ is the background MGRF model probability.  $P_{nmfxy;z}(1)$  and  $P_{nmfxy;z}(0)$ are defined using the distances from the two class centroids to the *H*-space vector of voxel (*x*,*y*,*z*). The overall segmentation framework is summarized by Algorithm 2.

$$DSC = \frac{2TP}{2TP + FP + FN}$$
(12)

The value of the DSC ranges from 0 to 1, where 0 means that there is no similarity and 1 means that there is perfect similarity.

Algorithm 2: Proposed Algorithm for DWI Prostate Segmentation

Segment the prostate from a DWI volume by:

1. Align the input DWI volume with the training database using the MI-based affine transformation.

- 2. Calculate the appearance-based shape prior using Algorithm 1.
- 3. Calculate the 3D pairwise voxel interactions Equation 3.
- 4. Perform NMF-based feature fusion Equations 6 and 7.

5. Calculate the probabilities that each voxel is object or background using the NMF-based features.

6. Use these probabilities to guide the evolution of a level-set to segment the prostate Equation 11.

#### **Performance Evaluation Metrics**

The performance of the proposed segmentation framework was evaluated using two accuracy metrics: (1) Dice similarity coefficient (DSC) and (2) Hausdorff distance (HD). Generally, there are two ways to evaluate any segmentation approach. The first determines the similarity between model segmentation and the gold standard, i.e., ground truth. The most commonly used metric is the dice similarity coefficient (DSC). The DSC is useful for determining the false positive and false negative segmentation, which are important for volumetric analysis. The second way determines the distance between the borders of a model segmentation and the ground truth. We used the Hausdorff distance to measure the closeness of our segmentation to the ground truth. This particular type of metric is important for shape analysis application. Therefore, we used both types metric to evaluate the accuracy of our proposed segmentation approach. These metrics are detailed below.

#### Dice similarity coefficient (DSC)

Many segmentation and classification metrics are based on the determination of true positive (TP), false positive (FP), true negative (TN), and false negative (FN) values, Figure 3. The TP is the number of correctly positively labeled samples; the FP is the number of incorrectly negatively labeled samples; and the FN is the number of incorrectly negatively labeled samples. These values can be used to calculate the DSC as shown by [59]:

## Hausdorff distance (HD)

Distance measures are another type of performance metric used for evaluating segmentation methods. The Euclidean distance is often utilized, but another common measure is the HD. The HD from a set  $A_1$  to a set  $A_2$  is defined as the maximum distance of the set  $A_1$  to the nearest point in the set  $A_2$  [60] (Figure 4):





Figure 4: Schematic illustration of measuring the 2D Hausdorff distance (HD) between two boundaries,  $A_1$  and  $A_2$ .

$$HD(A_1, A_2) = max_{a_1 \in A_1} \left\{ min_{a_2 \in A_2} \left\{ d(a_1, a_2) \right\} \right\}$$
(13)

where  $a_1$  and  $a_2$  are points of sets  $A_1$  and  $A_2$ , respectively, and  $d(a_1, a_2)$  is Euclidean distance between these points. The bidirectional Hausdorff distance, denoted by  $HD_{Bi}(\mathbf{GT},\mathbf{SR})$ , between the segmented region (**SR**) and its ground truth (**GT**) is defined as:

$$HD_{Bi}(GT, SR) = max \{HD(GT, SR), HD_{Bi}(SR, GT)\}$$
(14)

The smaller the distance, the better the segmentation method performed. The ideal case with perfect segmentation is when the bidirectional Hausdorff distance is equal to 0.

## **Experimental Results**

#### Medical images

Our frameworks have been tested on 125 data sets that have been collected from 10 subjects at different b-values. These data sets were acquired using a scanner (SIGNA Horizon, General Electric Medical Systems, Milwaukee, WI) with the following parameters: TE: 84:6 ms; TR: 8.000 ms; FOV 32 cm; slice thickness 3 mm; inter-slice gap 0 mm; and two excitations. The DWI volumes were collected with b-values ranging from 0 to 1000 s/mm<sup>2</sup> using a voxel size of  $1.25 \times 1.25 \times 3.00$  mm<sup>3</sup>. The ground truth segmentations used in training and in verifying the segmentation results were manually created by an MR expert.

## Segmentation results

The proposed NMF-based approach has been tested on the abovementioned data sets. Sample cross sections of the 3D segmentations of 4 subjects are shown in (Figure 5) for volumes acquired at  $b_{200}$ . The accuracy of the proposed prostate segmentation approach is evaluated using both the DSC [58] and the HD [60] metrics to determine the



Figure 5: Sample segmentation results presented in 2D for visualization of the 3D segmentation performed by the proposed nonnegative matrix factorization (NMF)-based level-set approach at different cross sections for 5 different subjects. The green and red colors correspond respectively to the ground truth and our segmentation.

Method	DSC (Mean ± SD)	HD (Mean ± SD)
Our Approach	0.868 ± 0.03	5.61 ± 2.12 mm
MAP [25]	0.834 ± 0.07	6.67 ± 1.84 mm
Liu et al. [35]	0.810 ± 0.05	9.07 ± 1.64 mm

 Table 1: Segmentation accuracies for the proposed nonnegative matrix factorization (NMF)-based approach and the compared approaches using the Dice similarity coefficient (DSC) and the Hausdorff distance (HD) metrics. Note that "SD" stands for standard deviation.



Figure 6: 3D visualizations of the segmented prostate object generated using our approach for two subjects.



Figure 7: Comparative segmentation results projected into 2D for visualization of the 3D segmentation for 3 different patients using the (a) proposed approach and (b) the maximum a posteriori (MAP) guided level-set where the green and red curves correspond to the ground truth and the segmentations, respectively.



Figure 8: Sample receiver operating characteristic (ROC) curves for the proposed (red) and the maximum a posteriori (MAP)-based (blue) level-set segmentation approaches.

agreement between our segmentation and the ground truth, as described in Section III. Table 1 summarizes the DSC and HD statistics obtained for all test data sets. The ground truth was obtained by manual contouring of the prostate borders by an MR expert. The final 3D segmentations obtained by the proposed approach for two of the prostates in the data set are shown in Figure 6.

To highlight the advantage of integrating the NMF-based fusion of the three image features (i.e., the 3D appearance, spatial, and shape features) into the level set segmentation. The results using our approach were compared to: (*i*) the reported results for the 3D approach developed by Liu et al. [35] and (*ii*) the results of a level-set guided by the MAP model proposed by Firjani et al. [24] that utilized the probability that a voxel was object or background based on its intensity, shape, and spatial information. The MAP-based approach by Firjani et al. [24] demonstrated higher accuracy compared with the approach proposed in [35]. Figure 7 qualitatively compares the accuracy of the NMF-based and the MAP-based level-set methods for sample independent subjects at different cross sections, with respect to the ground truth. Moreover, the average DSC and HD values of all three of the compared methods are shown in Table 1. As demonstrated in Table 1 the use of the proposed NMF-based level-set method has improved the accuracy of the segmentation, as evidenced by the higher DSC and smaller HD values. These results highlight the advantages of integrating the NMF-based fusion of the 3D appearance, shape, and spatial interaction features to guide the evolution of the level set function.

In addition to DSC and HD, another common metric for evaluating segmentations is the receiver operating characteristic (ROC) [61,62]. The ROC measures the sensitivity of a segmentation using different classification thresholds by demonstrating the interaction between the ration of the TP and FP rates. The area under the ROC curve ranges from 0 to 1, with 1 corresponding to an ideal segmentation. Sample ROC curves of the NMF and MAP guiding forces, as well as the areas under the curves (Az), are shown in Figure 8.

In recent years, diffusion-weighted MR has shown an increased use in CAD systems for prostate cancer diagnosis by estimating diffusion parameters (e.g., apparent diffusion coefficient) using DWI data acquired at both higher and lower b-values [24-30]. However, segmenting DWI data acquired at higher b-values is a challenge compared with those at lower b-values due to the decreased contrast between the object and the background. In contrast to the existing approaches, the proposed framework possesses the ability to accurately extract the prostate from DWI data at higher b-values. This is due to the integration of the 3D appearance, shape, and spatial features with an NMF feature fusion that increases the robustness of the proposed approach to overcome large image noise at higher b-values. The segmentation of DWI data at higher b-values for 8 subjects is shown Figure 9. As demonstrated in this figure, the robustness proposed approach produce precise segmentation of the prostate at higher b-values compared with the ground truth.

# Conclusion

In this paper, a novel framework for the 3D segmentation of the prostate from diffusion-weighted MR images is proposed. The proposed framework utilizes a nonnegative matrix factorization (NMF) approach to combine different image features, namely the 3D appearance, shape, and spatial features to guide a level-set deformable model to extract the prostate from DWI. Experimental results on in-vivo data sets at different b-values showed a significantly better segmentation of the prostate using the proposed NMF-based feature fusion than using either MAP with the same input information or intensity and shape information alone. This in part can be explained by the fact that the addition of NMF-based feature fusion allows the proposed method to perform robust prostate segmentation despite image noise, inter-patient anatomical differences, and the similar intensities of the prostate and surrounding tissues. In future work, we plan to utilize the proposed segmentation technique in a complete computer-aided diagnostic (CAD) system for prostate cancer detection using DWI-MRI. Therefore, this segmentation framework will be tested with a larger data set in order to verify its robustness.

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